

# *Optogenetic visual cortical prosthesis*

**Conflicts of interest:** I have filed a number of patents and CANDO may have commercial impact. But currently no commercial interests.

**Dr. Patrick Degenaar**

**Reader in Neuroprosthesis**



# Neuroprosthetics @ Newcastle

Epilepsy brain prosthesis

<http://www.cando.ac.uk>

Visual prosthesis

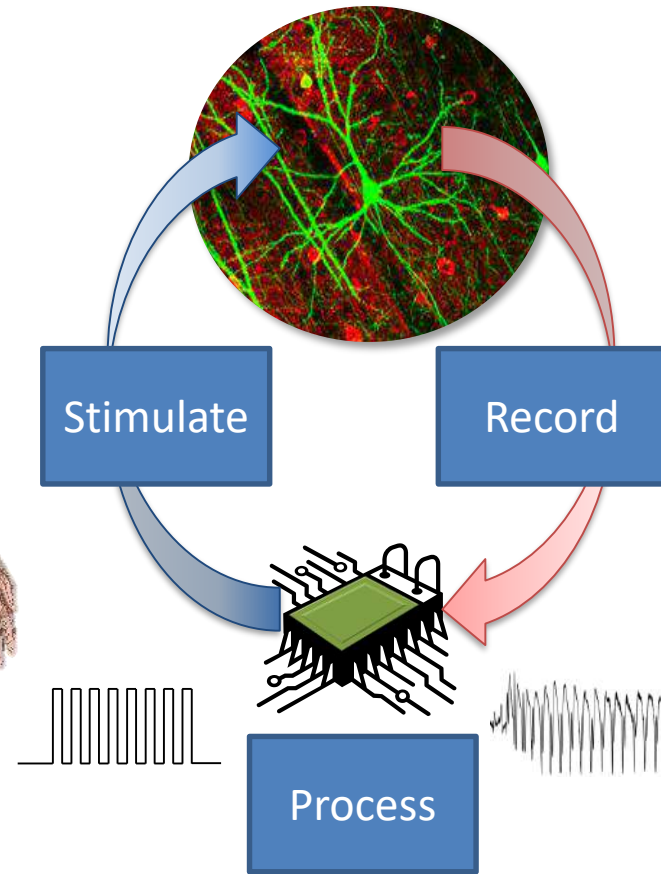
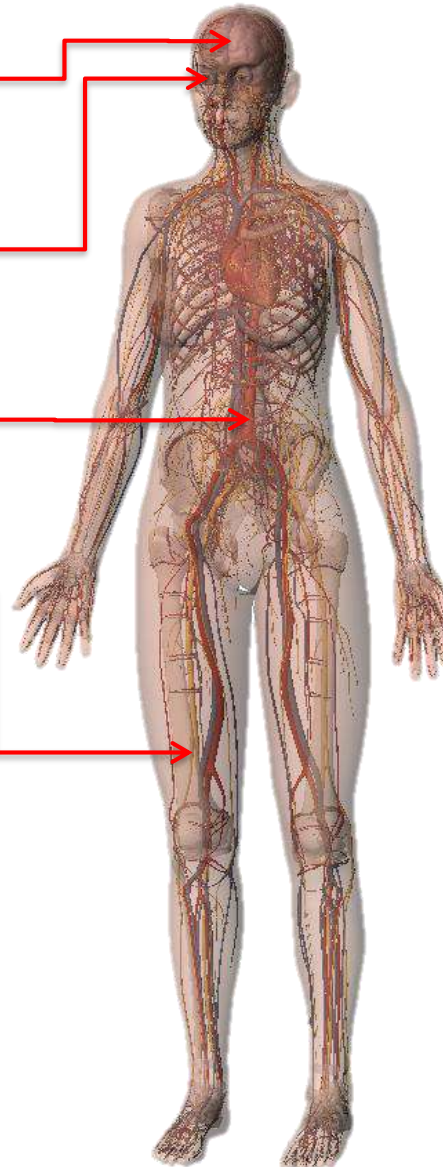
<http://www.optoneuro.eu>

Spinal cord injury

Hand/Leg bionics

Electrodiagnostics

Multi-EMG recording



Closed loop neural interfaces!!



wellcome trust

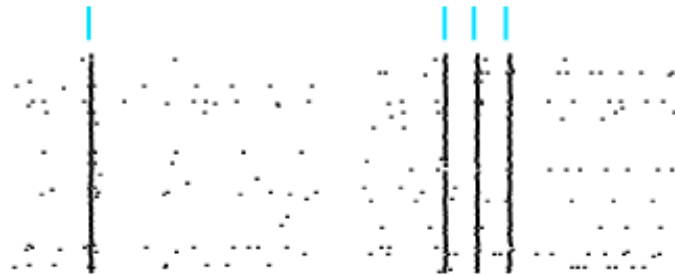
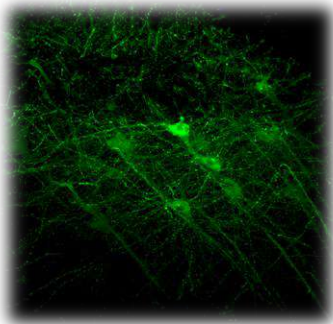
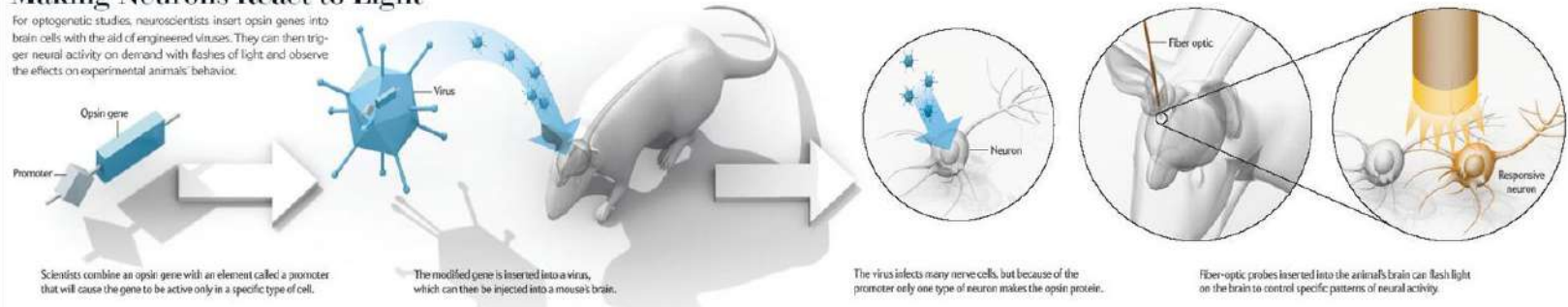


# The optogenetics revolution

## PROCEDURES

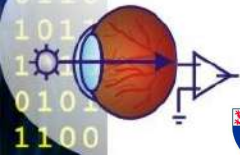
### Making Neurons React to Light

For optogenetic studies, neuroscientists insert opsin genes into brain cells with the aid of engineered viruses. They can then trigger neural activity on demand with flashes of light and observe the effects on experimental animals' behavior.



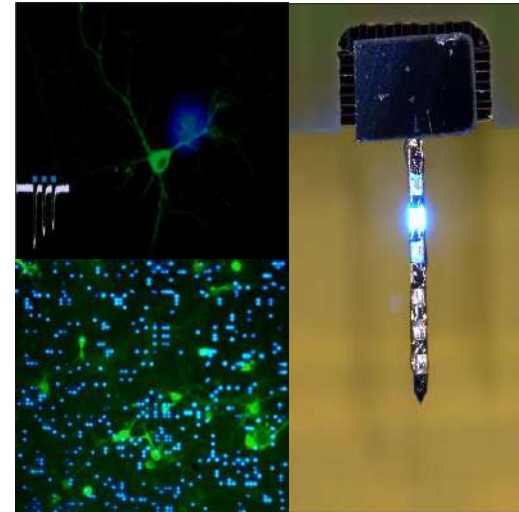
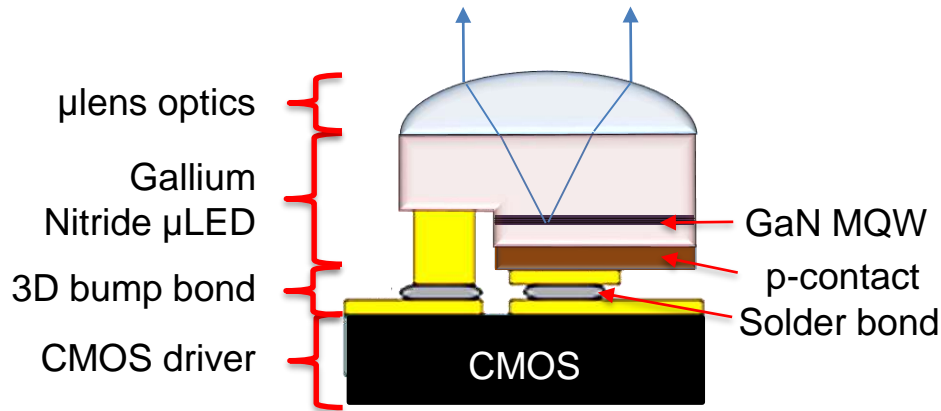
Blue light is used to activate neurons expressing channelrhodopsin. Other opsins can silence activity

Optogenetic control of behaviour.  
Gradinaru et al. *J Neurosci* (2007)





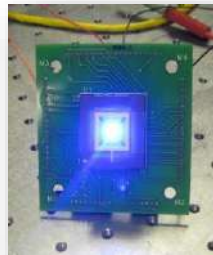
# Optoelectronics for optogenetics



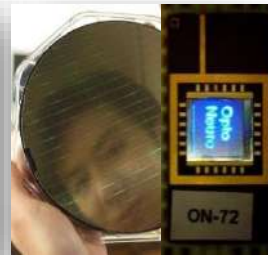
64x64, 64x1 passive μLED arrays



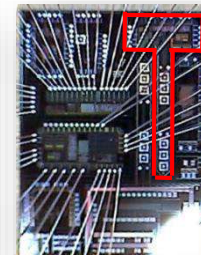
16 x 16 MPW CMOS chip driven μLED arrays



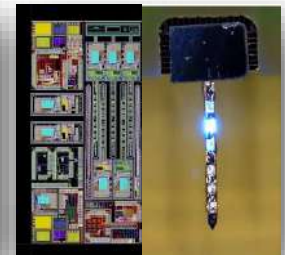
90x90 Retina wafer



CANDO 1 Optrode



CANDO 3 Wafer



2009

2010

2011

2012

2013

2014

2015

2016

2017

2018

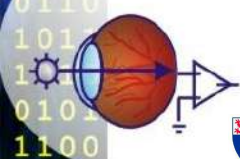
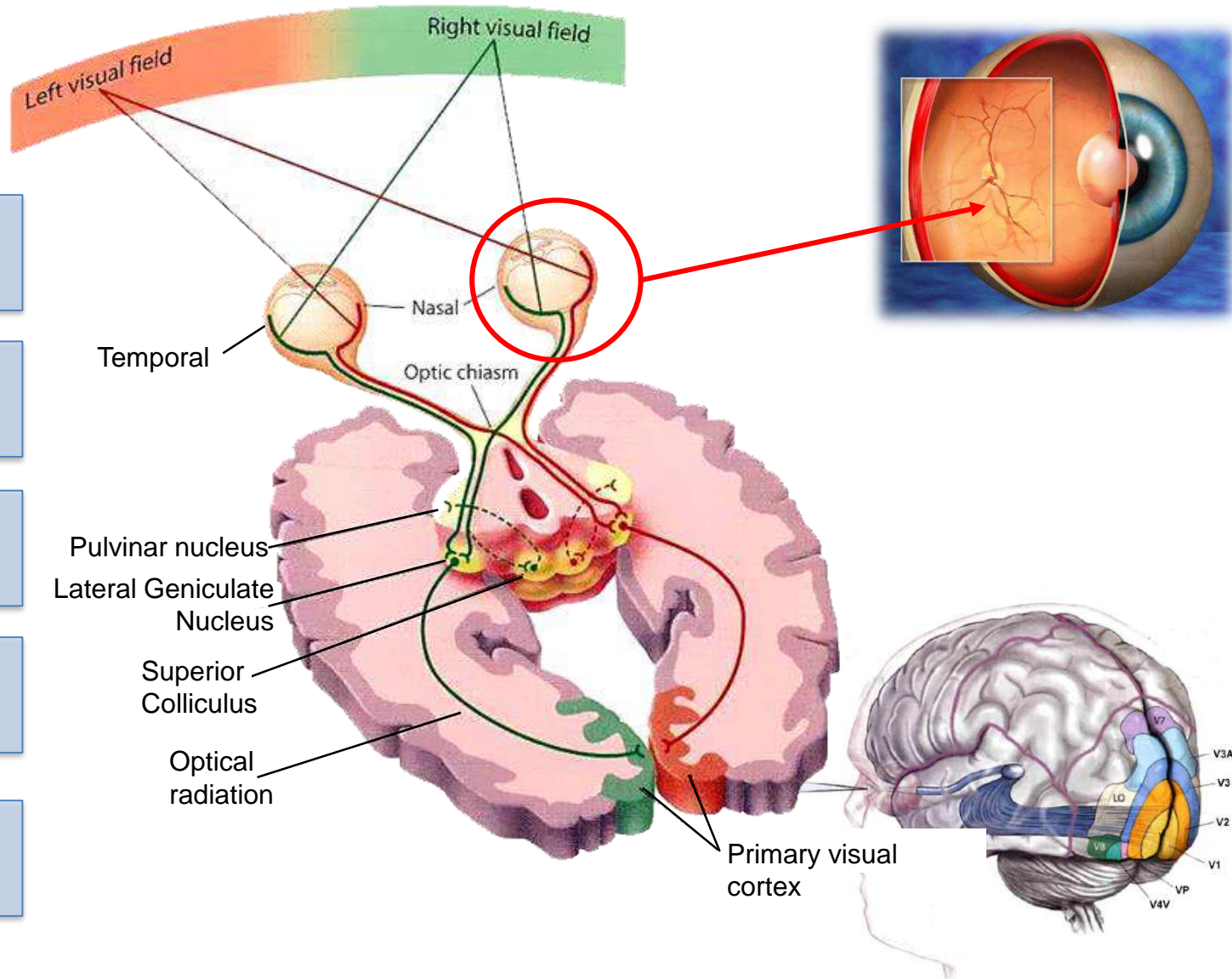
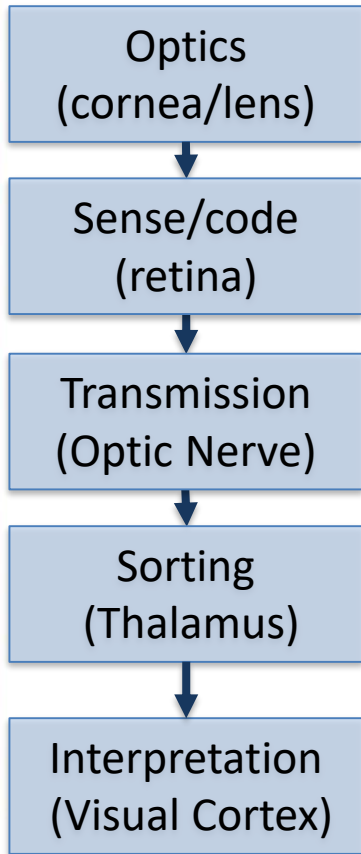
## High radiance optoelectronics for optogenetics:

Grossman N et al. IEEE TBME 2011, 58(6), 1742-1751.

McGovern B et al IEEE TBCAS 2010, 4(6, part 2), 469-476.

Grossman N et al, J. Neural Engineering 2010, 7(1), 016004.

# The visual system

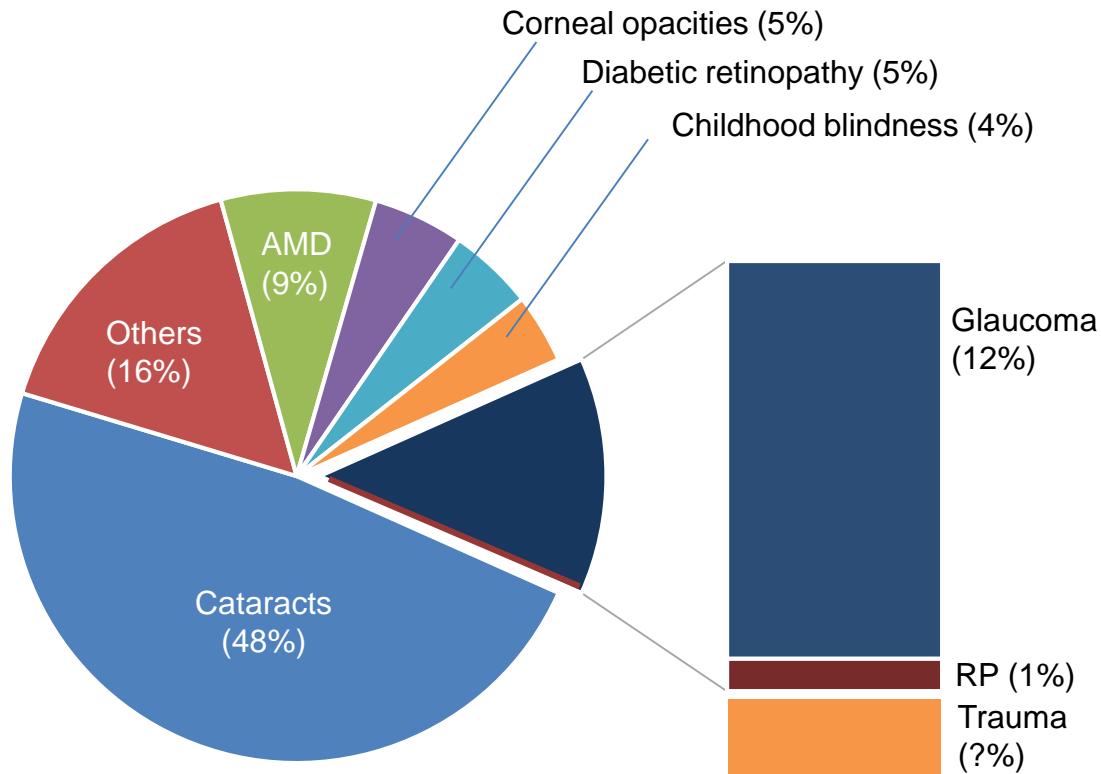


# Visual loss: statistics

According to WHO, about 180 million people worldwide have a visual impairment

Legal blindness:

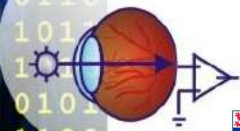
“medically diagnosed central visual acuity of 20/200 or less in the better eye with the best possible correction, and/or a visual field of 20 degrees or less”



From: WHO

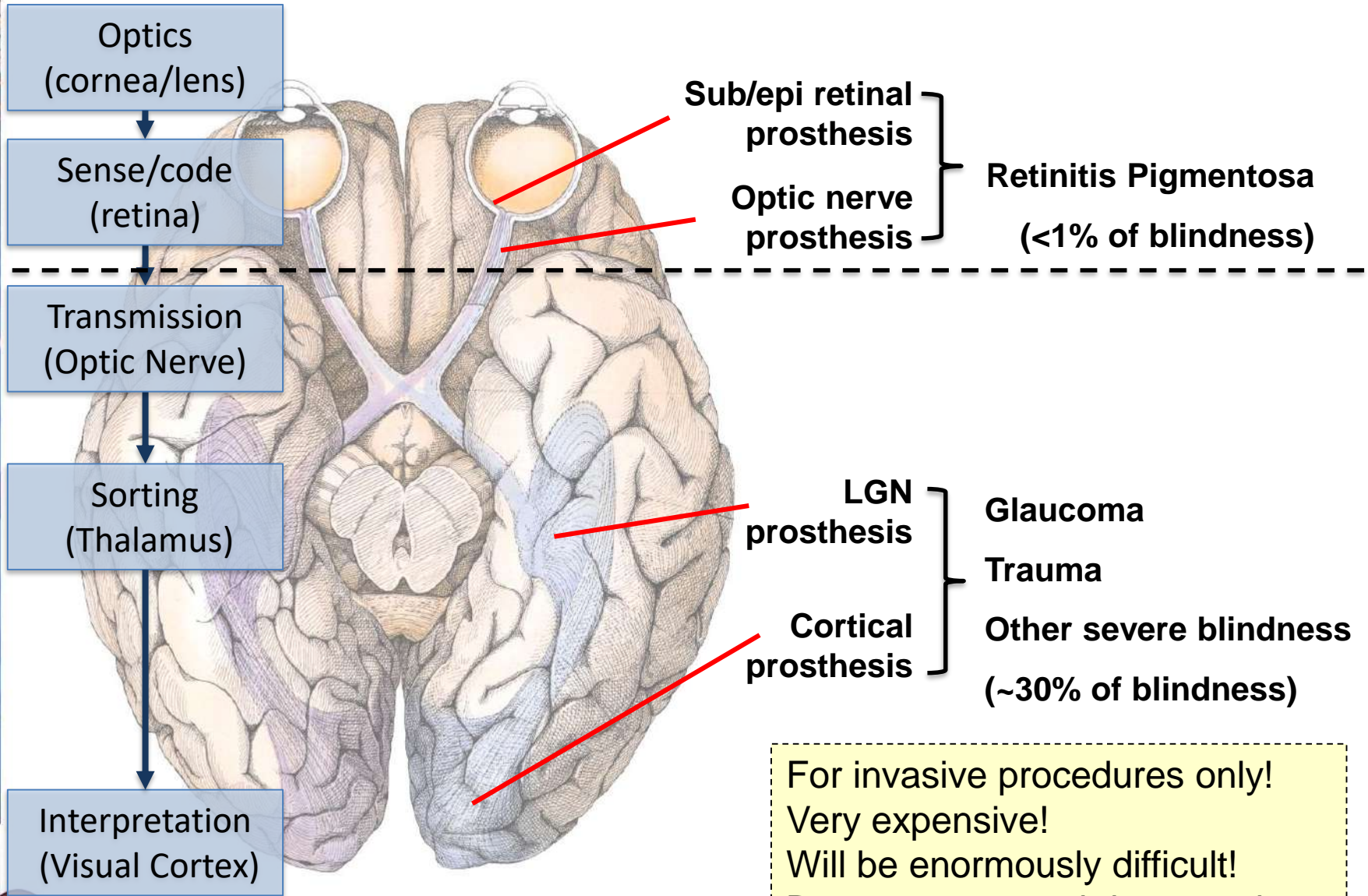
Primary visual prosthesis target conditions

Drugs and gene therapy becoming increasingly useful.. But cannot restore lost vision



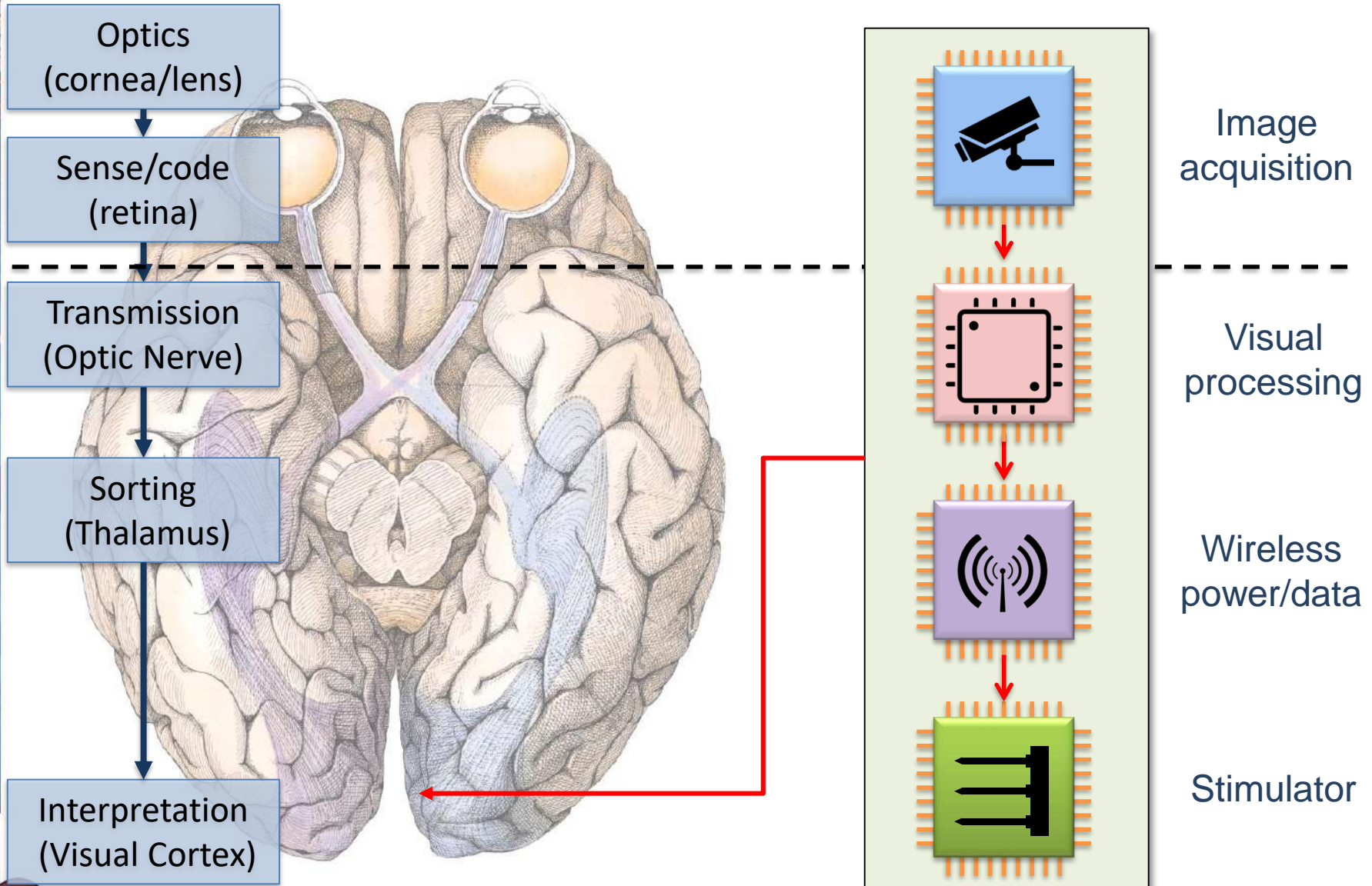


# Disorders of the visual system



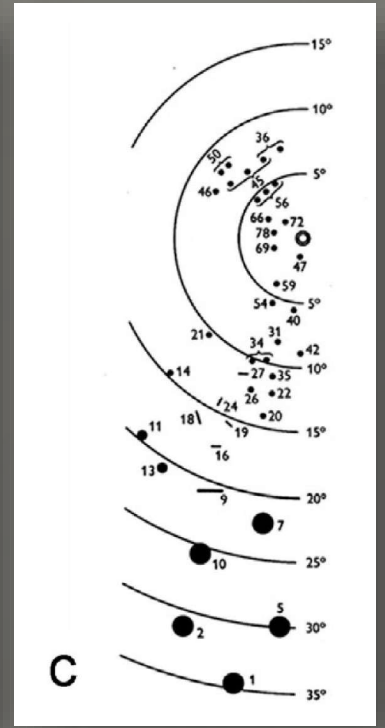
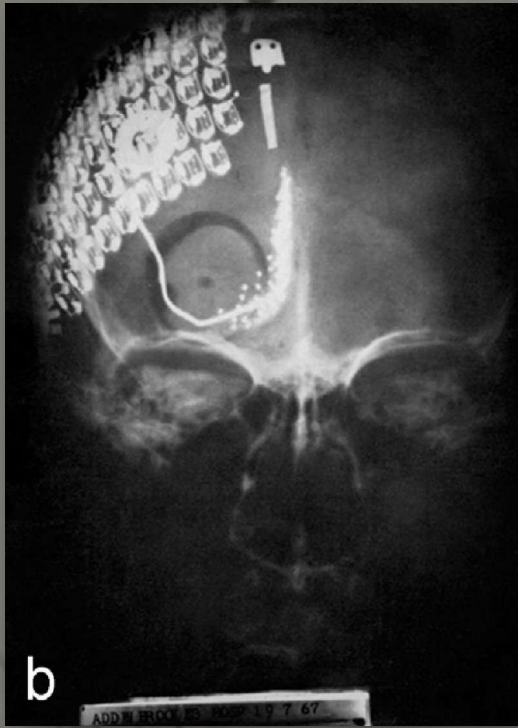
For invasive procedures only!  
Very expensive!  
Will be enormously difficult!  
But can treat much larger cohort

# Implementing a visual prosthesis



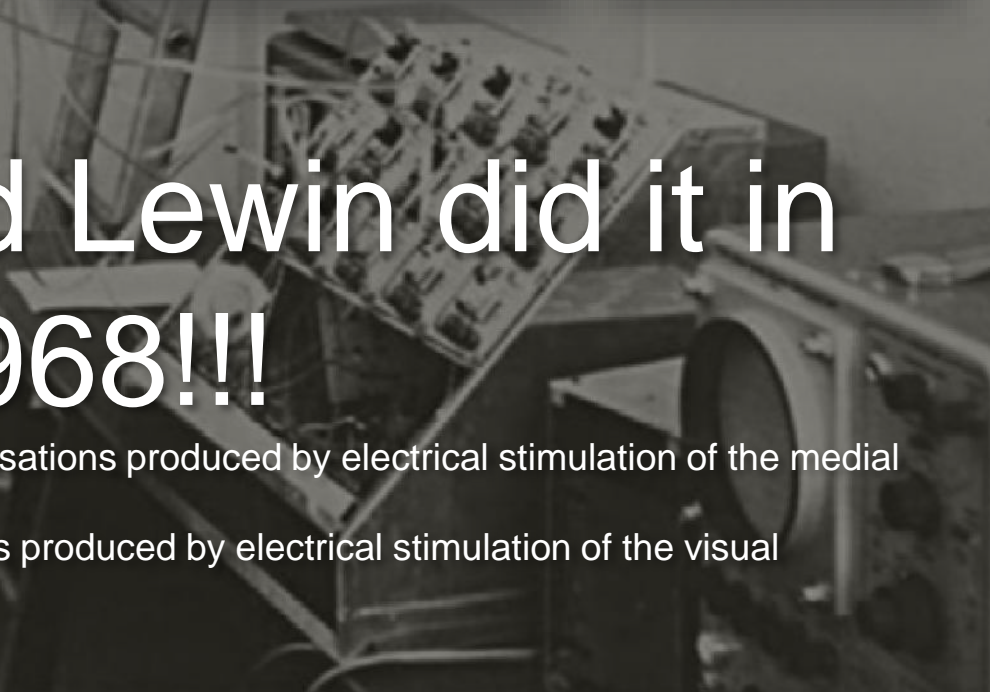
Easy??





# Brindley and Lewin did it in 1968!!!

- Brindley, G.S., Lewin, W.S., 1968. The visual sensations produced by electrical stimulation of the medial occipital cortex. *J Physiol.* 194:54-5P.
- Brindley, G.S., Lewin, W.S., 1968. The sensations produced by electrical stimulation of the visual cortex. *J. Physiol.* 196:479-493.

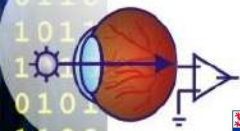


# Challenge 1: Sheer scale of challenge

1960's: You could build a device on a Monday and put it in a patient on a Friday

Now: A project to create an active implantable device requires £10-50M and 5-10 years worth of regulatory effort to demonstrate safety before implantation is allowed

**As such, almost the entire field moved to retinal prosthesis in the 1990's as it was a much easier target!!**



# Challenge 2: Visual acuity



Normal vision

山登り

Asian/pictographic  
scripts:

~ 1000 pixels

*Hillwalking*

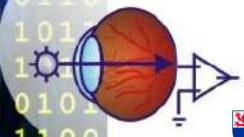
European/phonetic  
scripts:

~ 5000 pixels

*k*

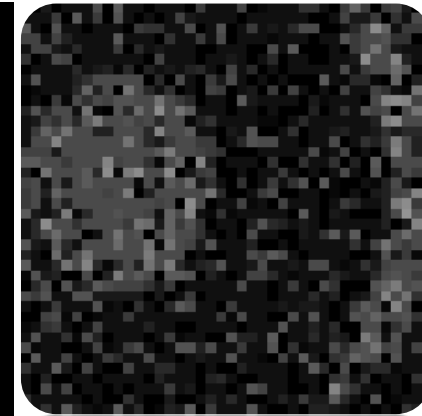
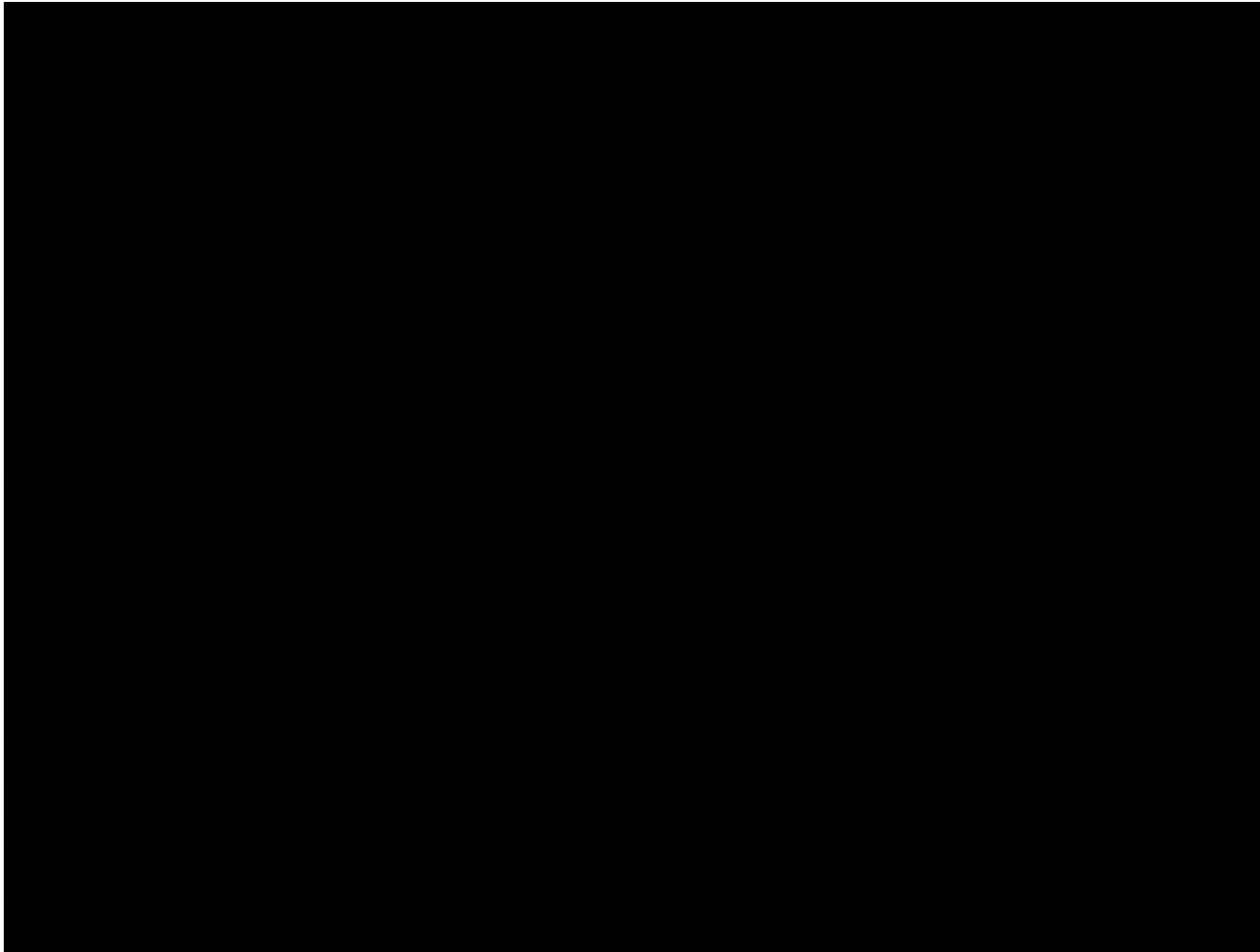
European/phonetic  
characters:

~ 100 pixels





# Lessons from retinal prosthetics



1500 electrodes  
– but only a few  
dozen can be on  
at any moment in  
time.

From Retina AG

# Challenge 3: Effective contrast

Original image



Assume greyscale retina  
@ 64 x 64 resolution



Add noisy retina  
effect



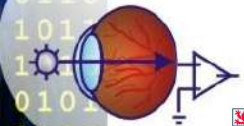
With insufficient stimulus contrast it is like whispering through a typhoon!



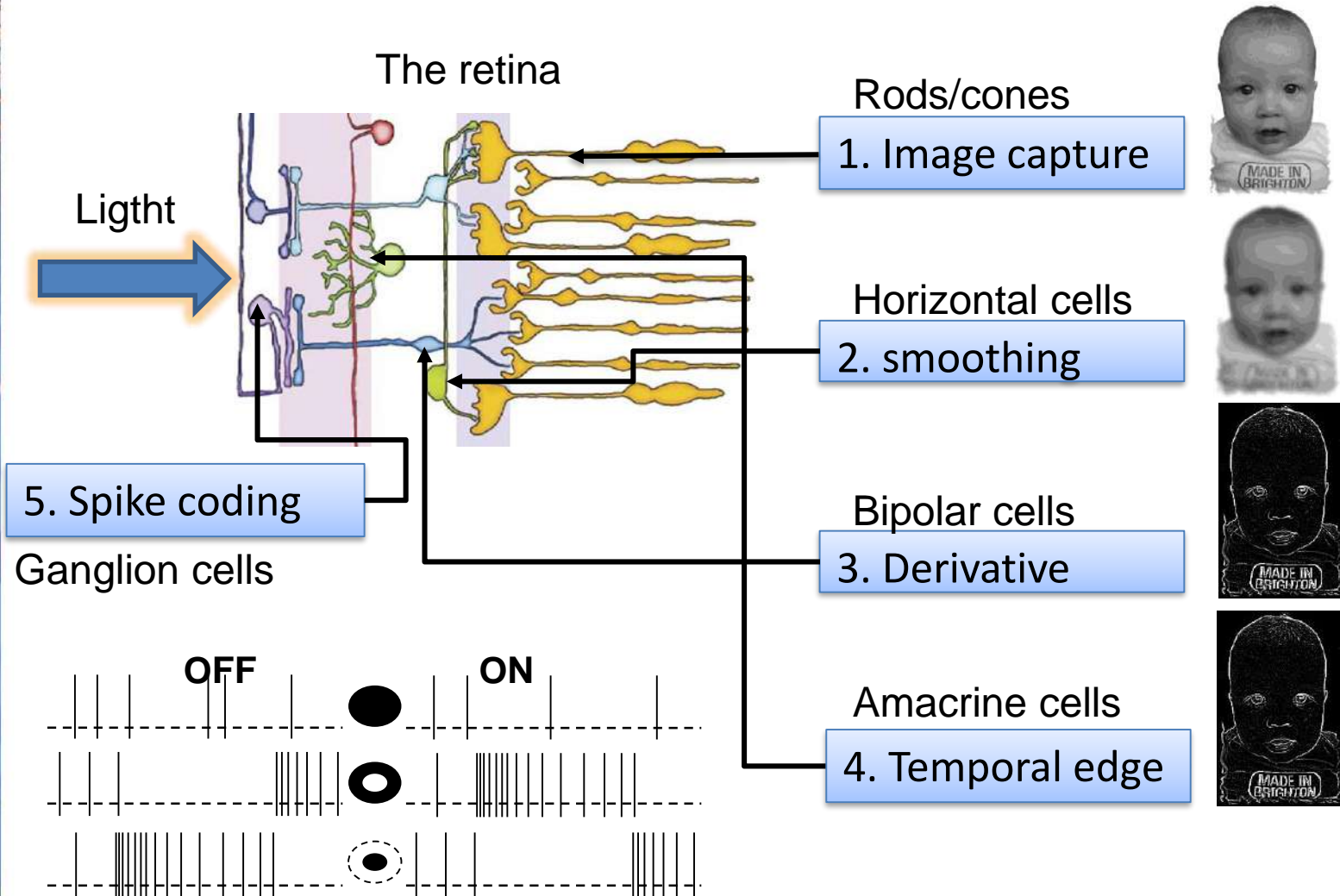
100:1 contrast ratio



50:1 contrast ratio



# Challenge 4: The eye is not a camera!

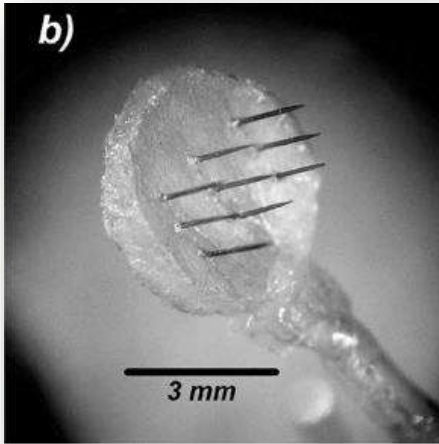


The retina has 60 different cell types. If we are bypassing the natural processing, we need to replicate the key features!

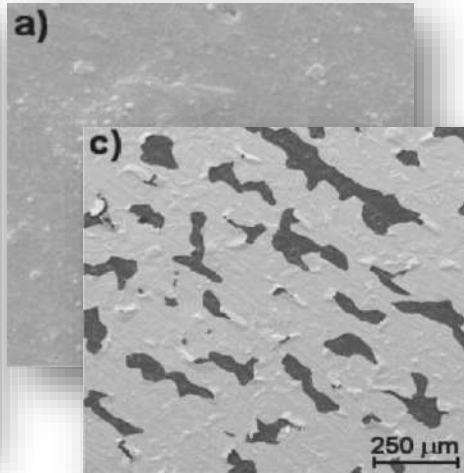




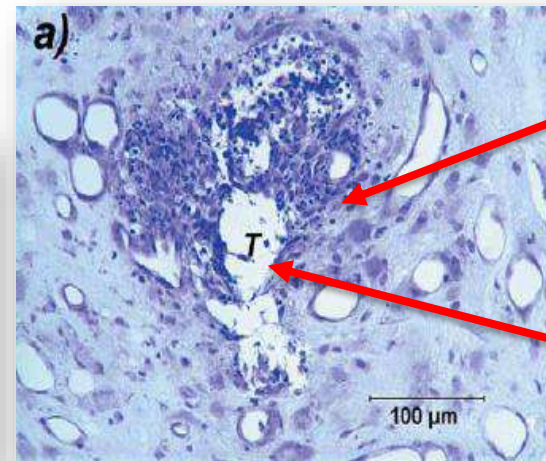
# Challenge 5: Biocompatibility



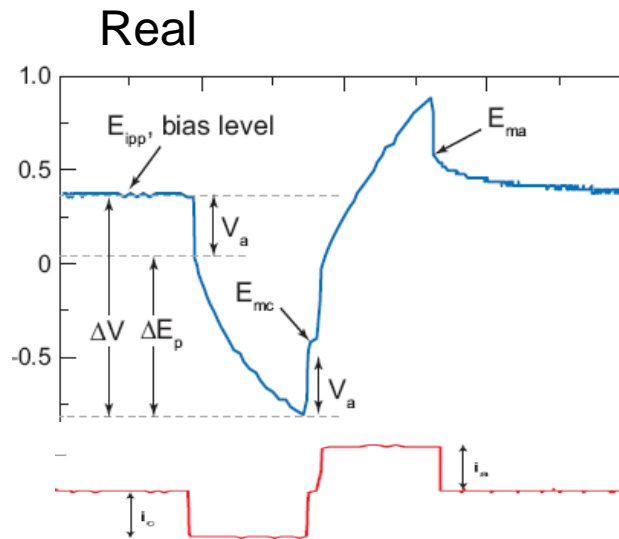
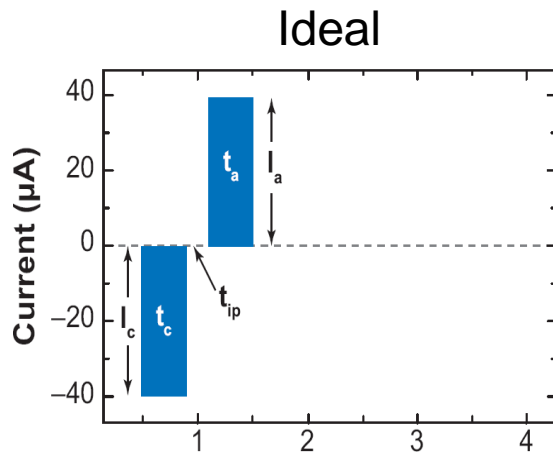
*Journal of The Electrochemical Society, 152 (7) J85-J92 ~2005!*



Accelerated ageing, 1000 cycles at 1.7V/s

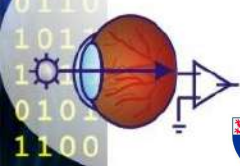


IEEE Transactions on Neural Systems and Rehabilitation, Vol. 12, No. 2, June 2004



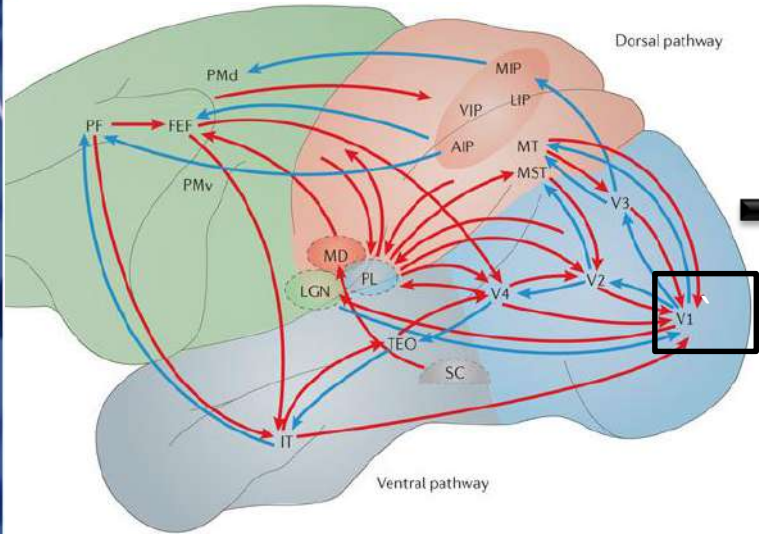
Electrode degradation and adverse tissue reactions are a major issue for smaller stimulating electrodes because of high charge density requirements

***Where to start?***

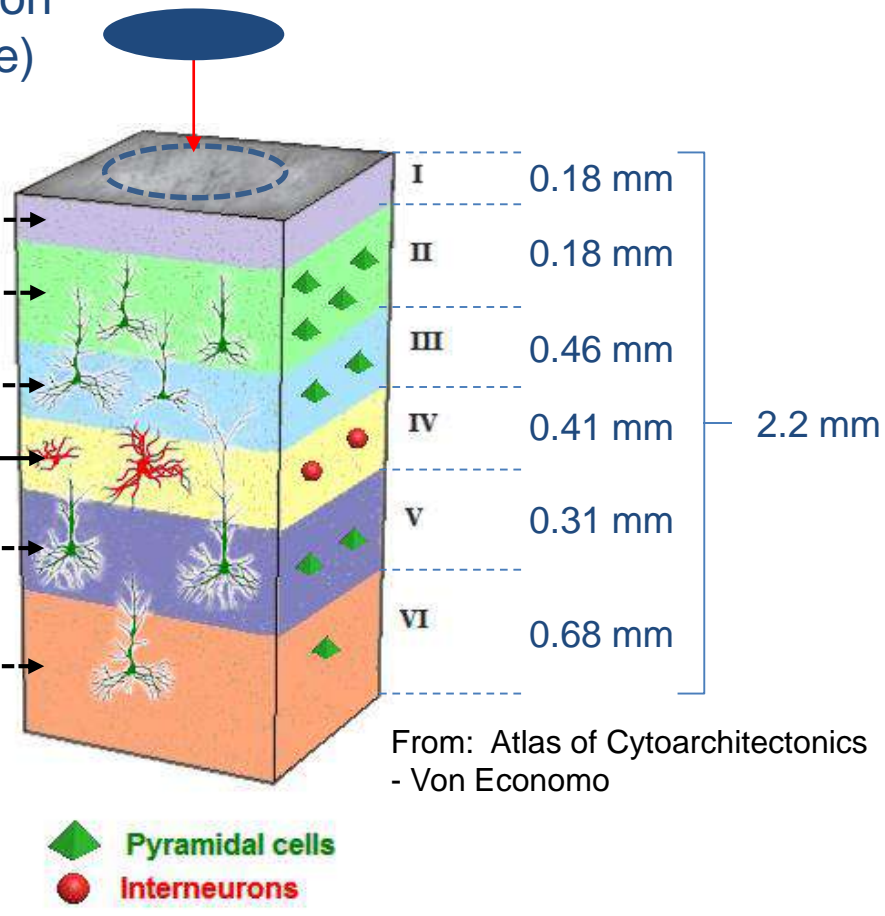


# Developing the stimulator

Surface stimulation  
(Brindley, Dobbelle)



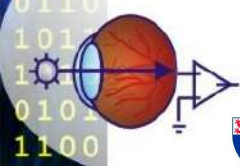
Nature Reviews | Neuroscience



From: Atlas of Cytoarchitectonics - Von Economo

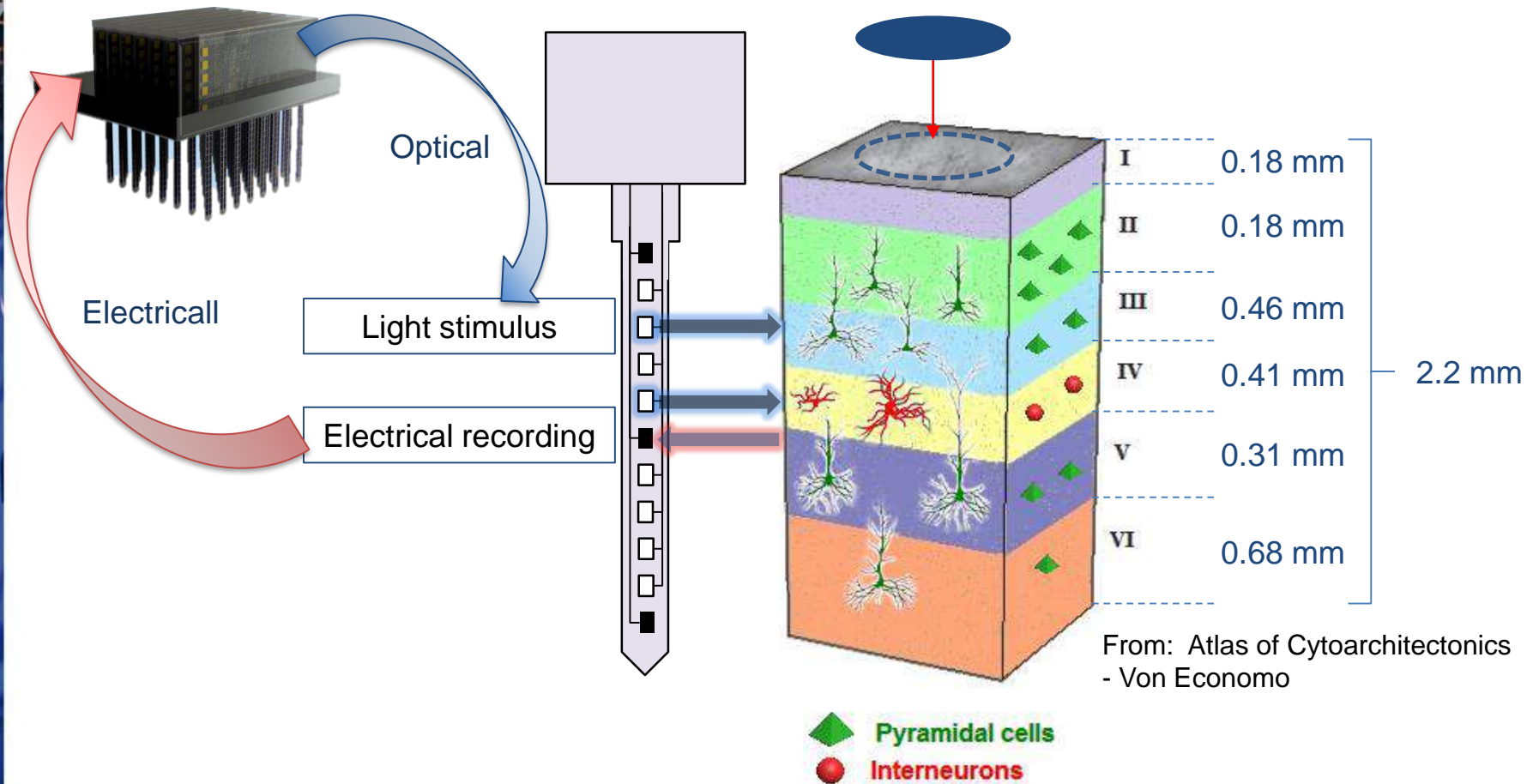
Penetrating stimulation

Can we make the communication bi-directional?  
Can we treat communication as a control problem?





# Optogenetics & closed loop control



Penetrating stimulation

Can we make the communication bi-directional?  
Can we treat communication as a control problem?

# Controlling Abnormal Network Dynamics with Optogenetics



Lighting the Way to a New Epilepsy Treatment

£10M project: Clinical trials aimed for 2021

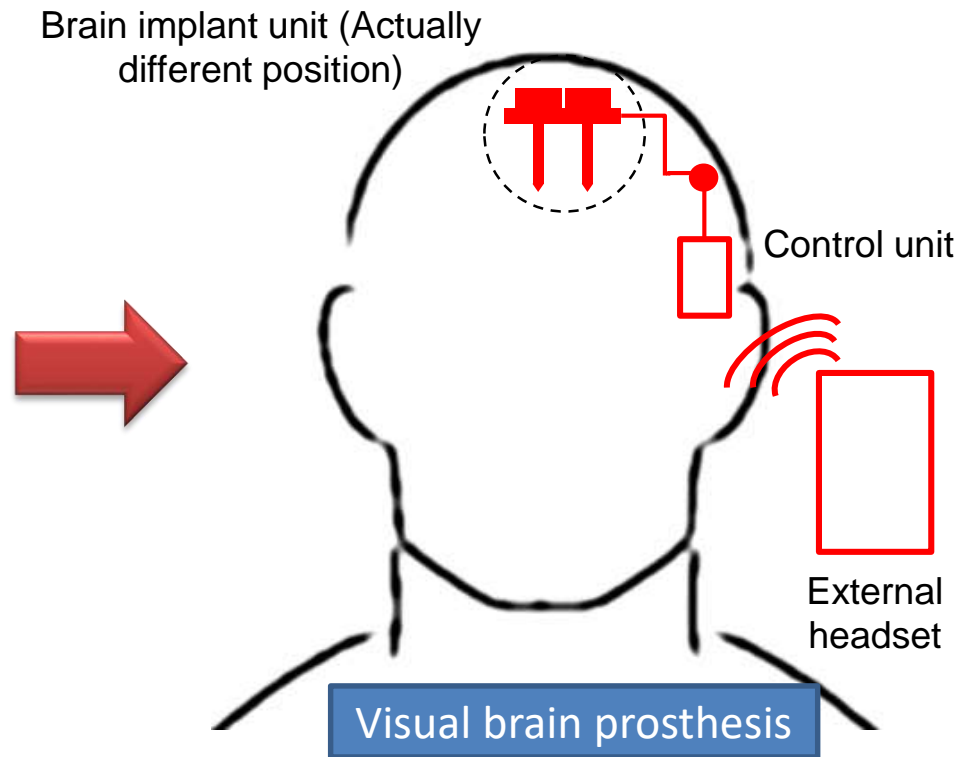
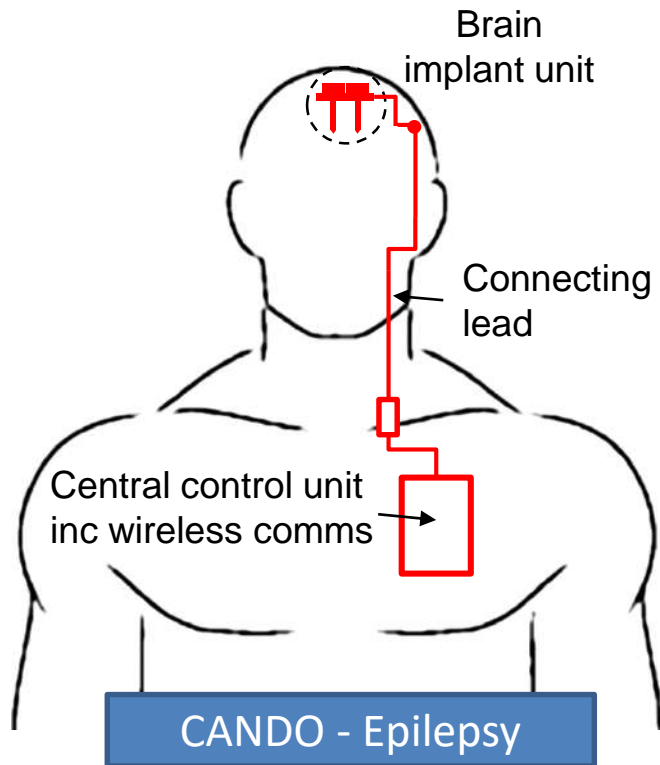


The image is a composite. On the left, a man's head and upper torso are shown with a brain implant and a chest device connected by wires. On the top right, a close-up shows the implant's electrode array on a brain model. On the bottom right, a diagram shows a neural network with a microchip-like structure. The background is dark with binary code and a chemical structure on the left side.

Noise cancellation of epileptic seizures – but adaptable to vision

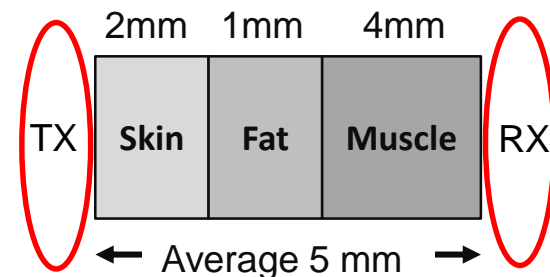


# Adapting to visual brain prosthetics

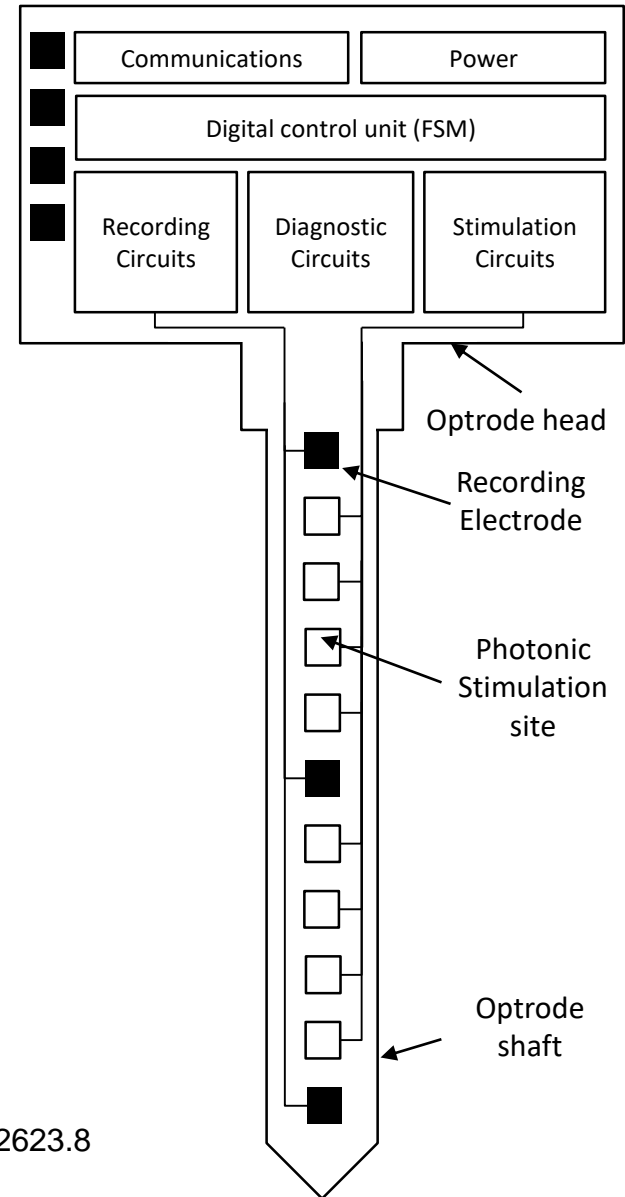
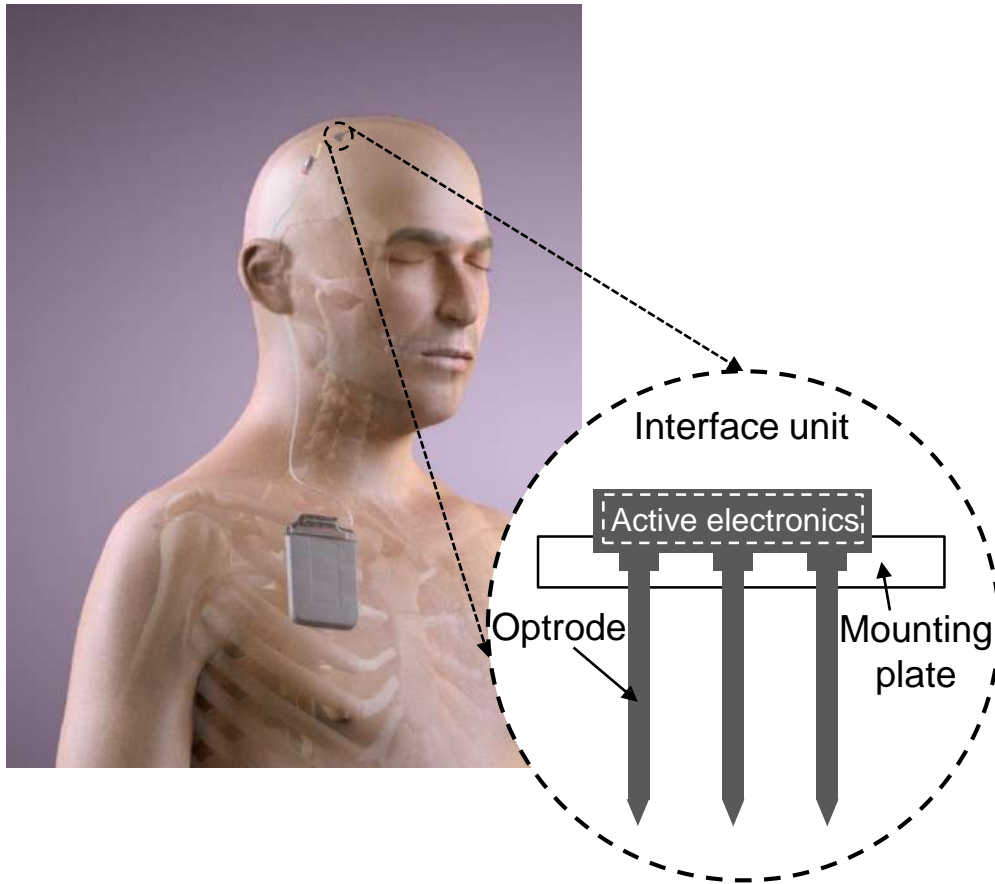


## Principles:

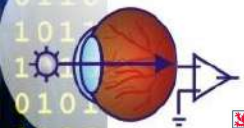
1. Custom brain implant starting as 4 x 4 x 4 LEDs can be utilised
2. The control unit is quite different – higher power, no battery
3. Cabling can be simpler



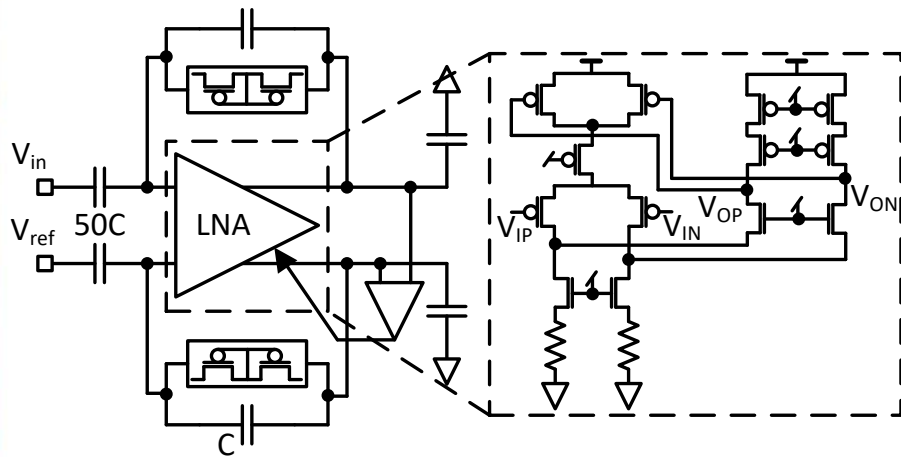
# Brain stimulator unit



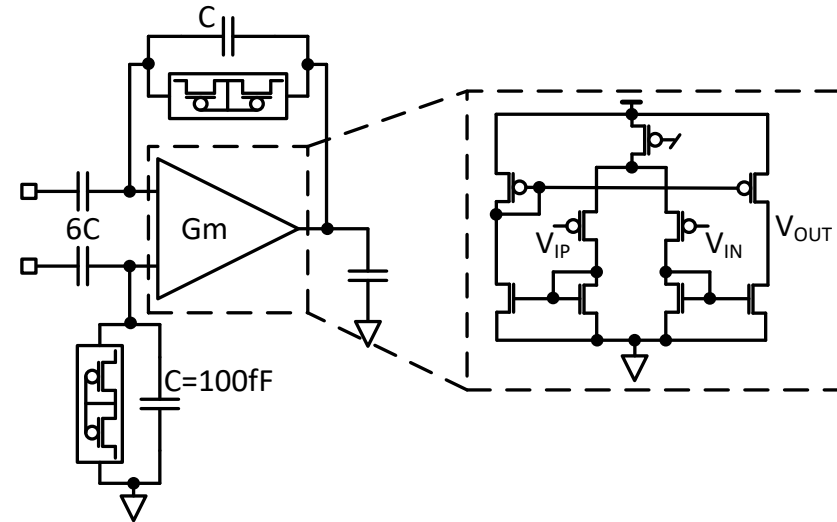
1. Ramezani et al. submitted to IEEE TCAS-I, June 2017
2. Zhao et al. Implantable optrode GB1410886.4
3. Dekhoda et al. Temperature sensor GB1522790.3
4. Degenaar Optical stimulation arrangement GB1616725.6
5. Constandinou et al On Chip Random ID generation GB1702623.8



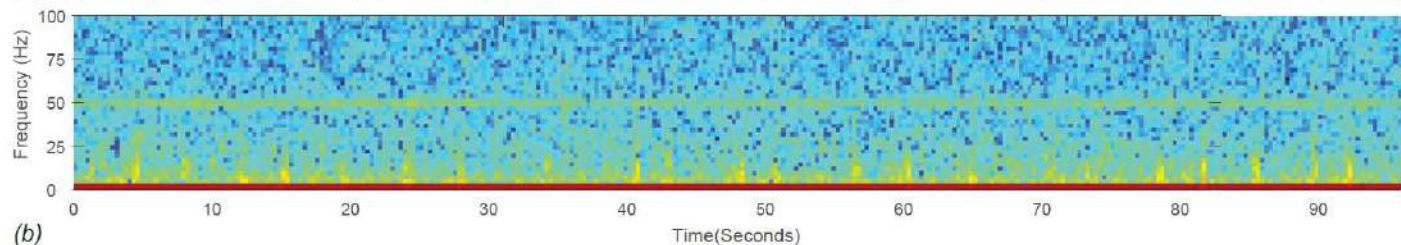
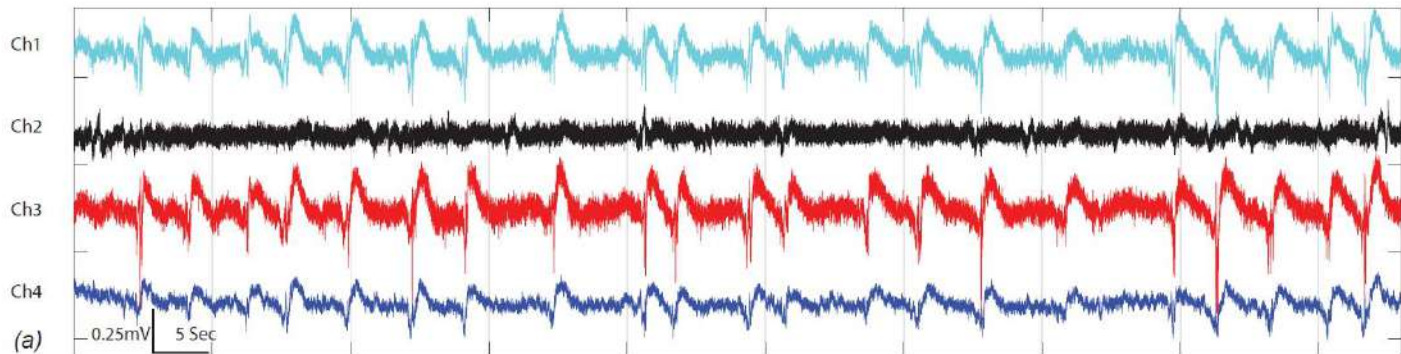
# Recording microelectronics



Front end amplifier



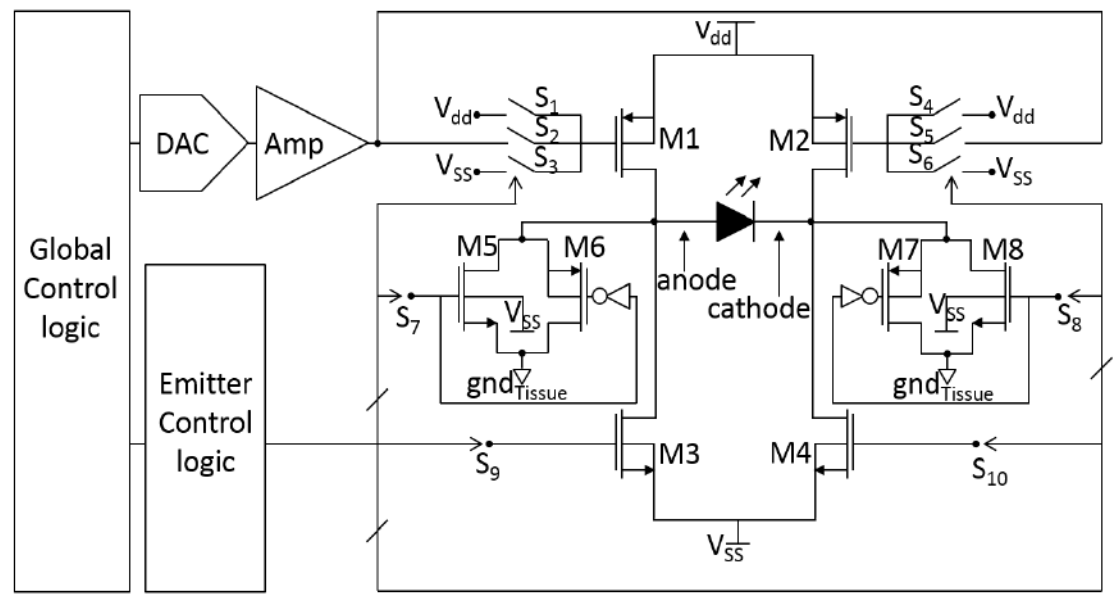
Programmable gain amplifier



Recordings from non-human primate brain

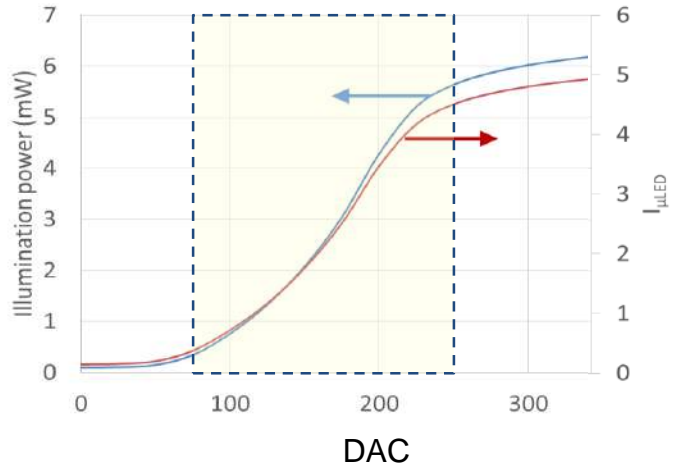


# LED driver circuitry

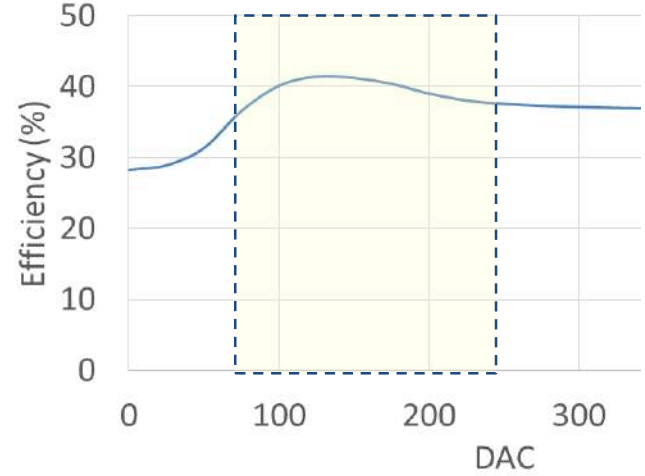


- Amplitude modulation
- PWM modulation
- Diagnostic and methods

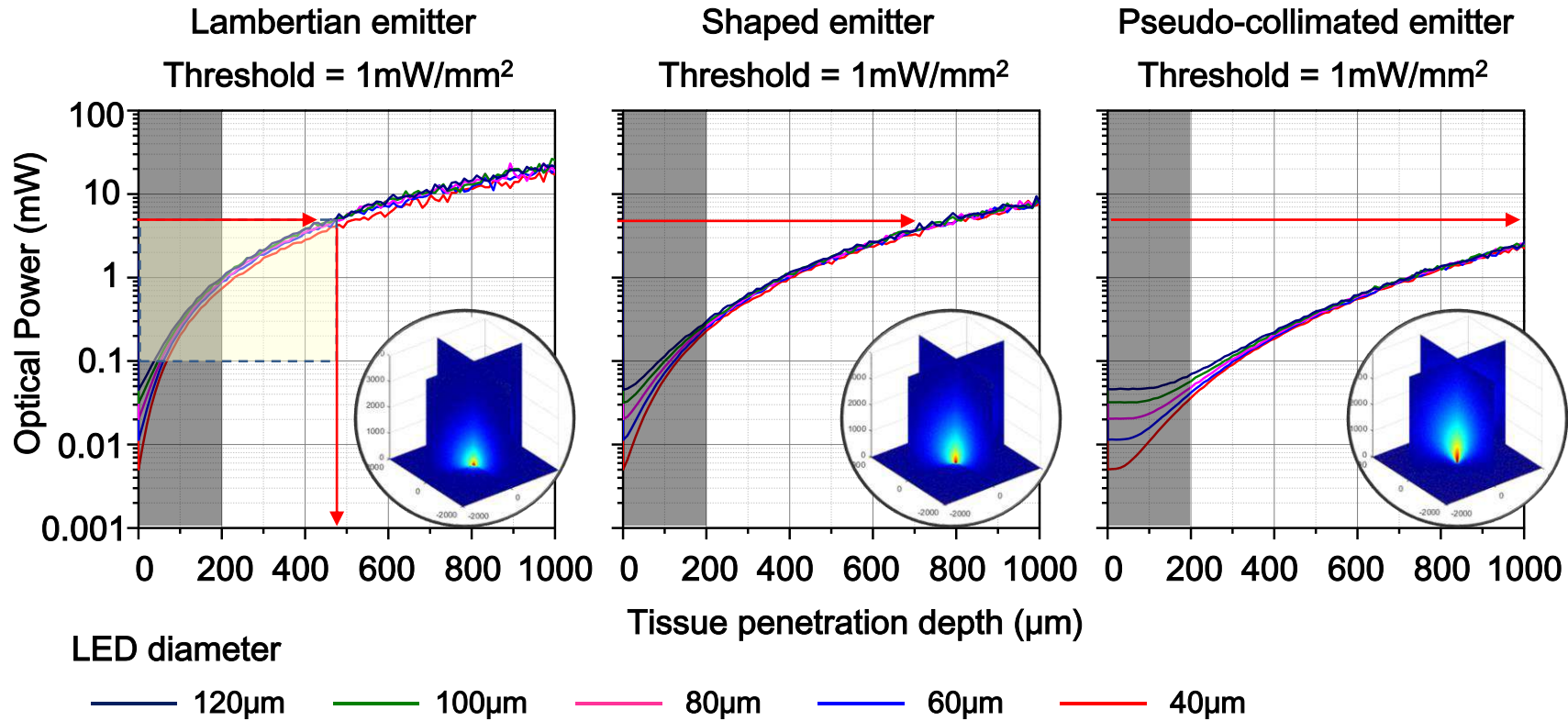
LED drive power



LED efficiency



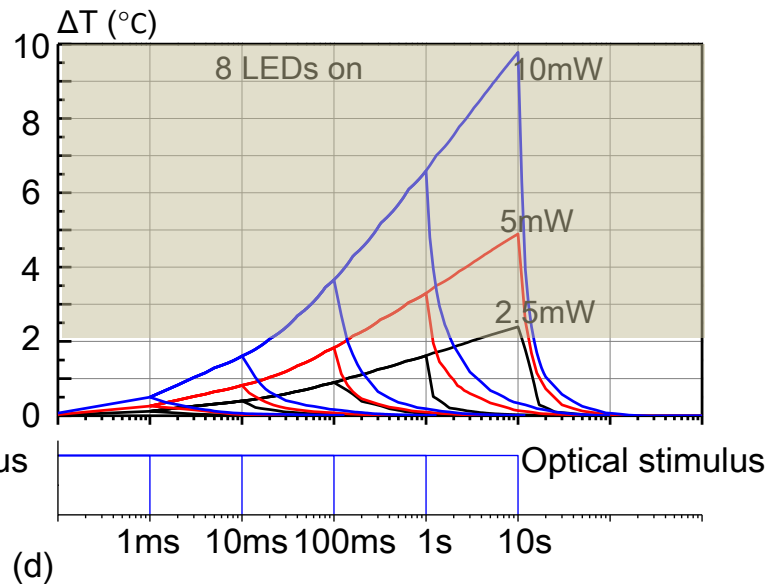
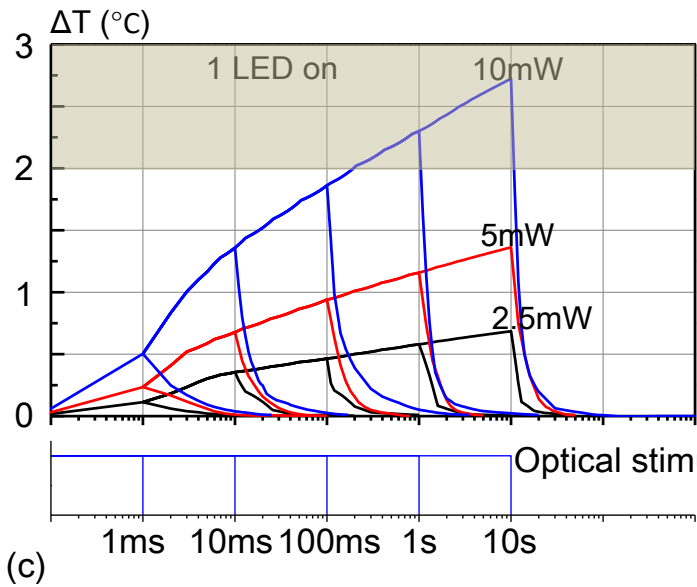
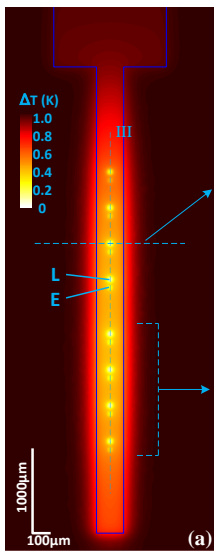
# How much light do we need?



Key take home message:

1. Size doesn't matter to penetration depth!
2. Need to penetrate at least 100-200μm for long term implantables due to gliosis
3. More collimated light will give better penetration

# LED thermal impact



Target LED characteristics:

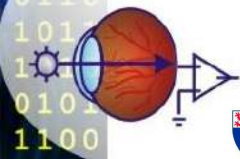
Driving current: 1mA  
 Driving voltage: 4-5V

Light requirement: 1mW

**Efficiency: >20%**

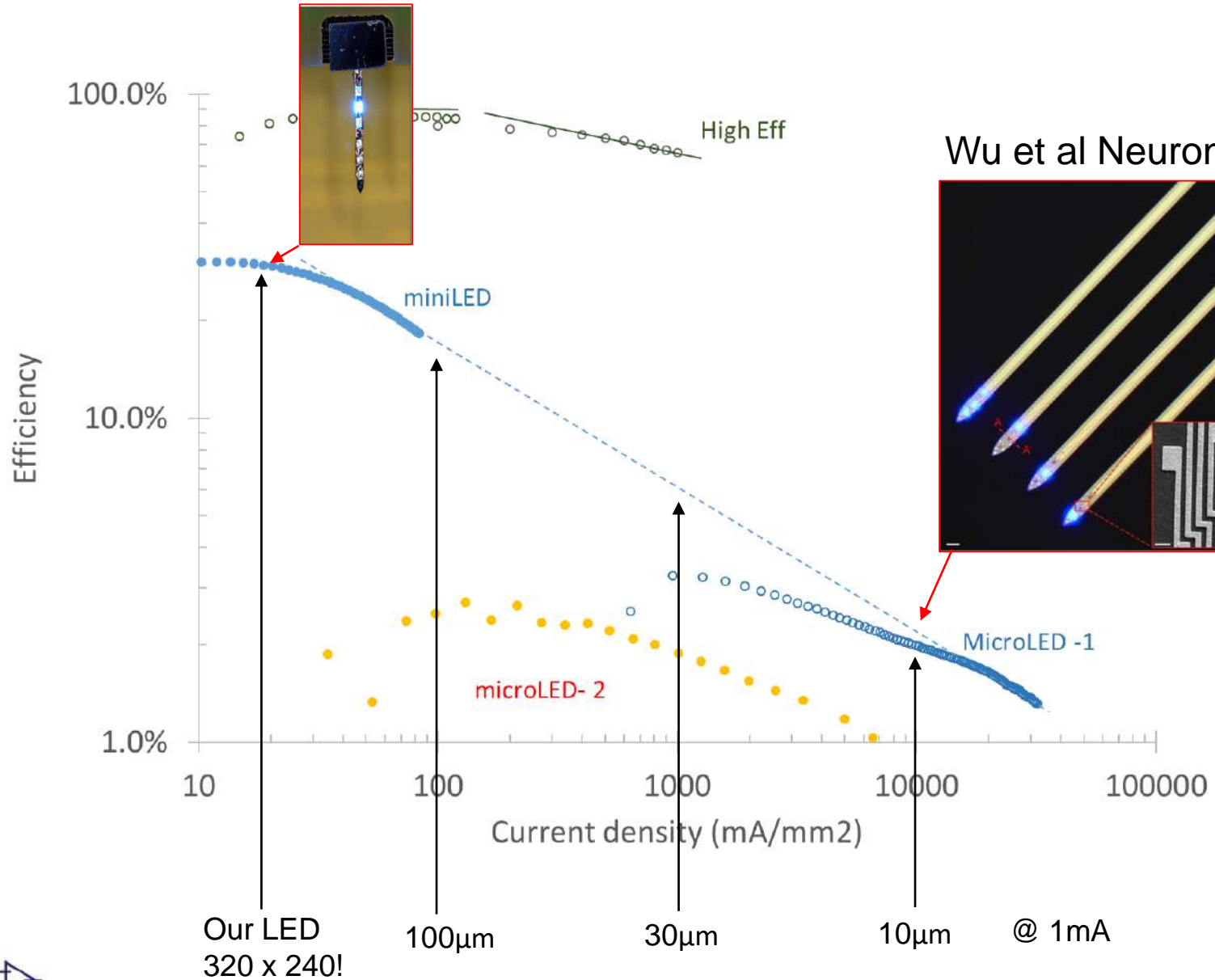
Typical micro-LED efficiencies from literature ~ 1-5%

- Wu et al. Neuron 2015: 0.8%
- Kim et al. Science 2013: 0.2%
- McAlinden al: Opt Lett 2013: 5%
- McGovern et al: PLOS ONE 2013: 5%
- McGovern et al: IEEE TBCAS 2010: 1%





# LED efficiencies



Wu et al Neuron 2015

Our LED  
320 x 240!

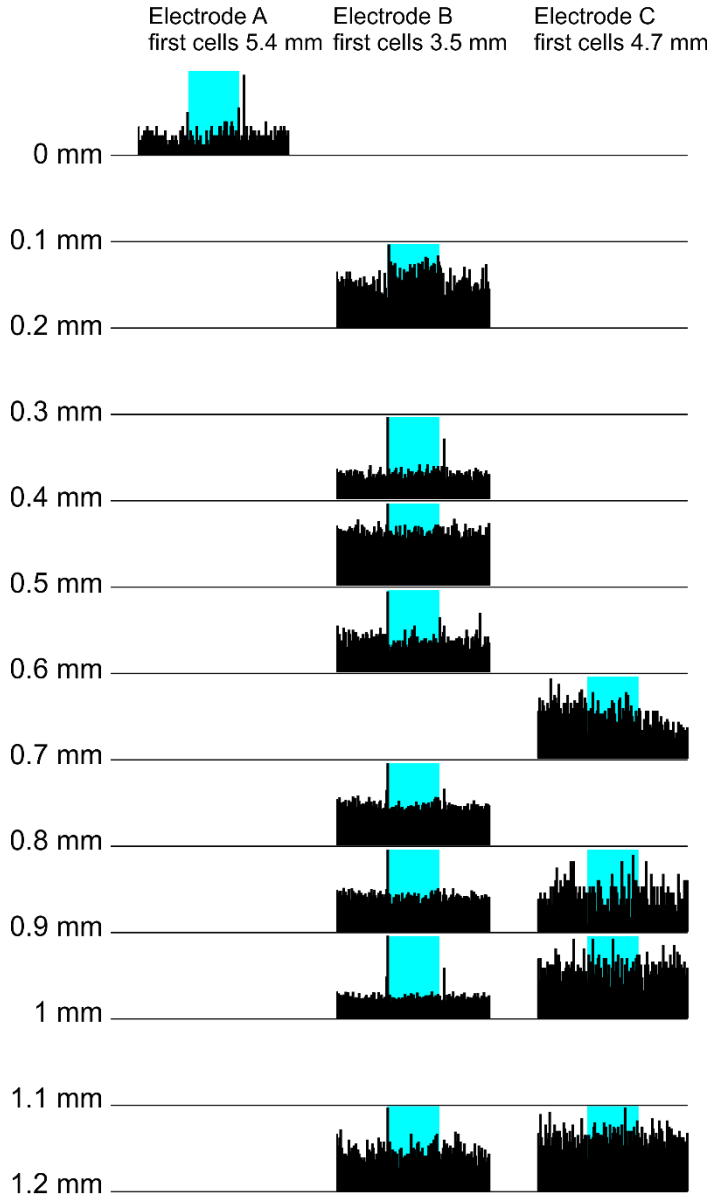
100μm

30μm

10μm

@ 1mA

# Light requirement in-vivo

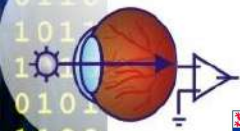


“Official” threshold is 0.7mW/mm<sup>2</sup> for dissociated culture. But what about in practice???

In NHP experiments, We saw responses at 1mm with emission intensities of only 0.5mW. i.e. At that depth the irradiance  $\ll$  0.1mW/mm<sup>2</sup>

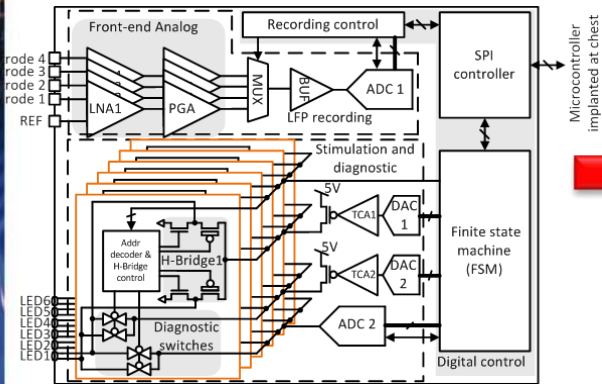
Caveat: we cannot separate neural transmission!

Modelling indicates a lower effective threshold of 0.1 - 0.3 mW/mm<sup>2</sup>

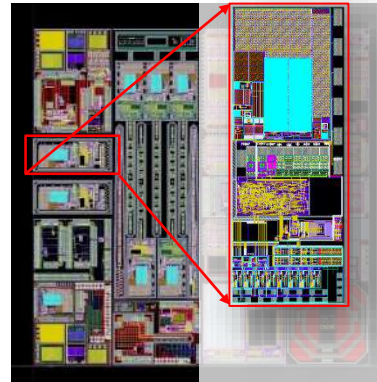


# Assembling the optrodes

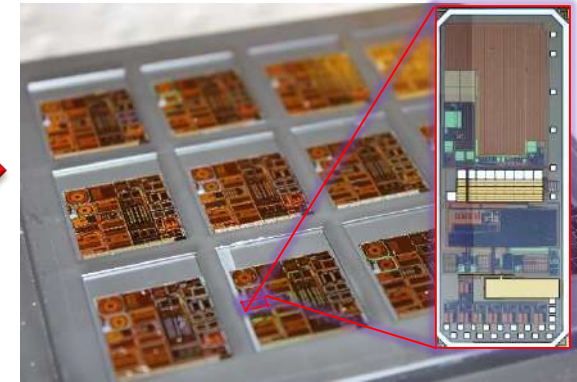
## Schematic design



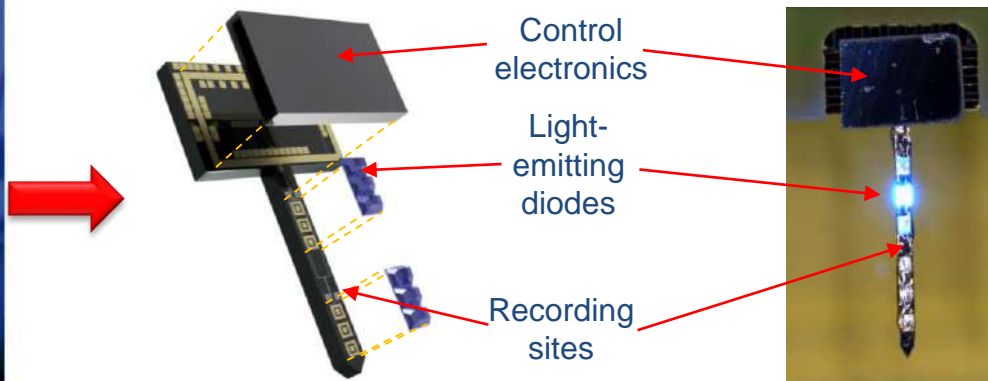
## CAD layout



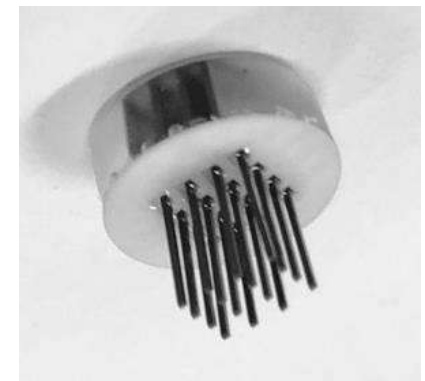
## Wafer fabrication



## Probe assembly



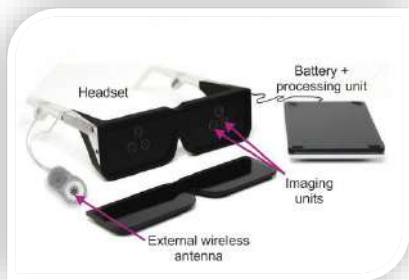
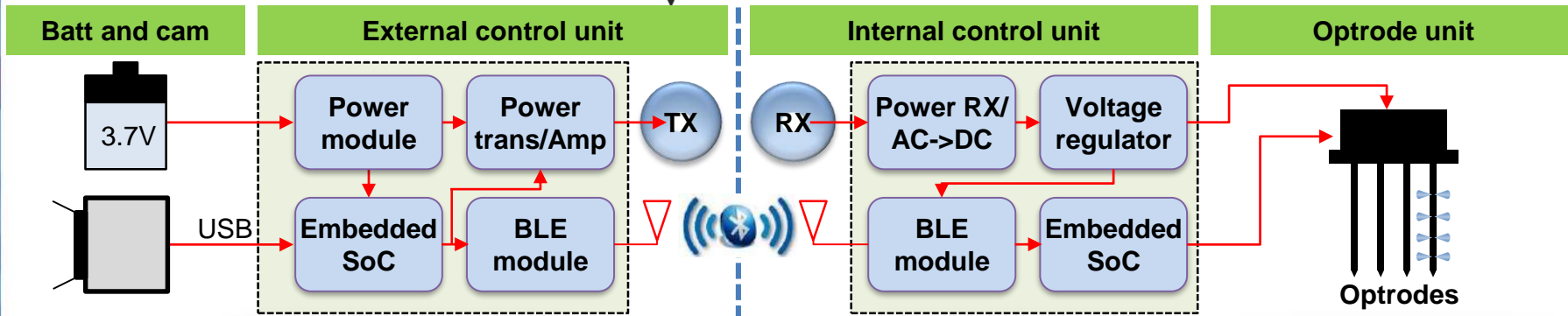
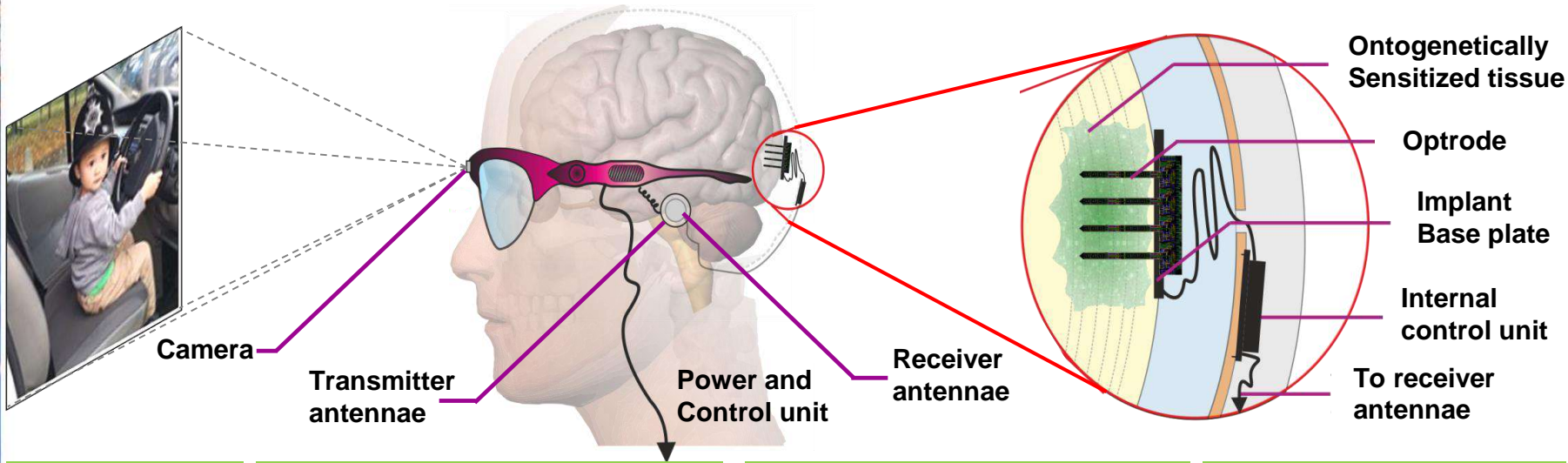
## 3D assembly



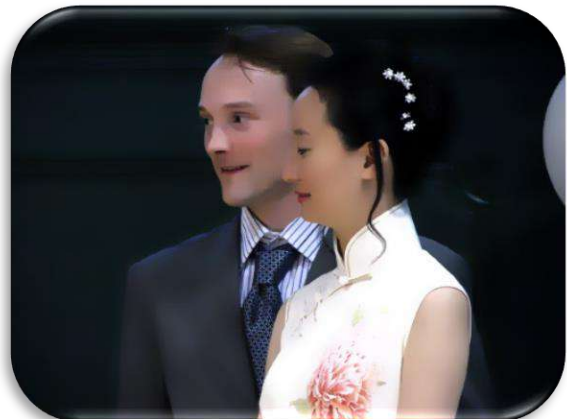
**We are developing a fully manufacturable implant!!**



# Developing the control system



# System software - preprocessing



**Simplification**

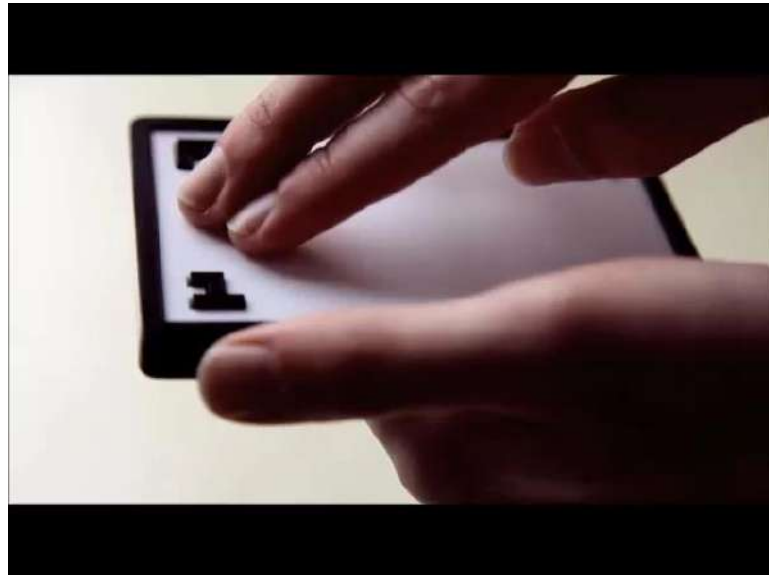


**Cartoonization**

**Visual augmentation**  
The vision that we will return to patients with visual prosthesis will be rudimentary. We are therefore using patients with partially degenerate vision as a simulation of what can be achieved.

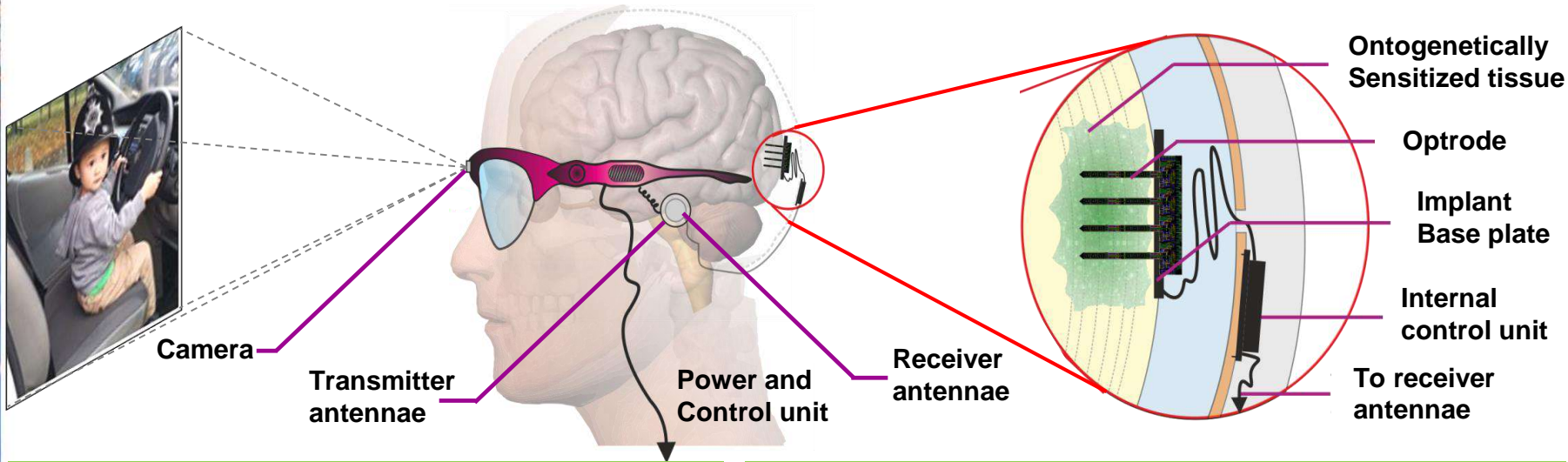


**Song of the Machine!**



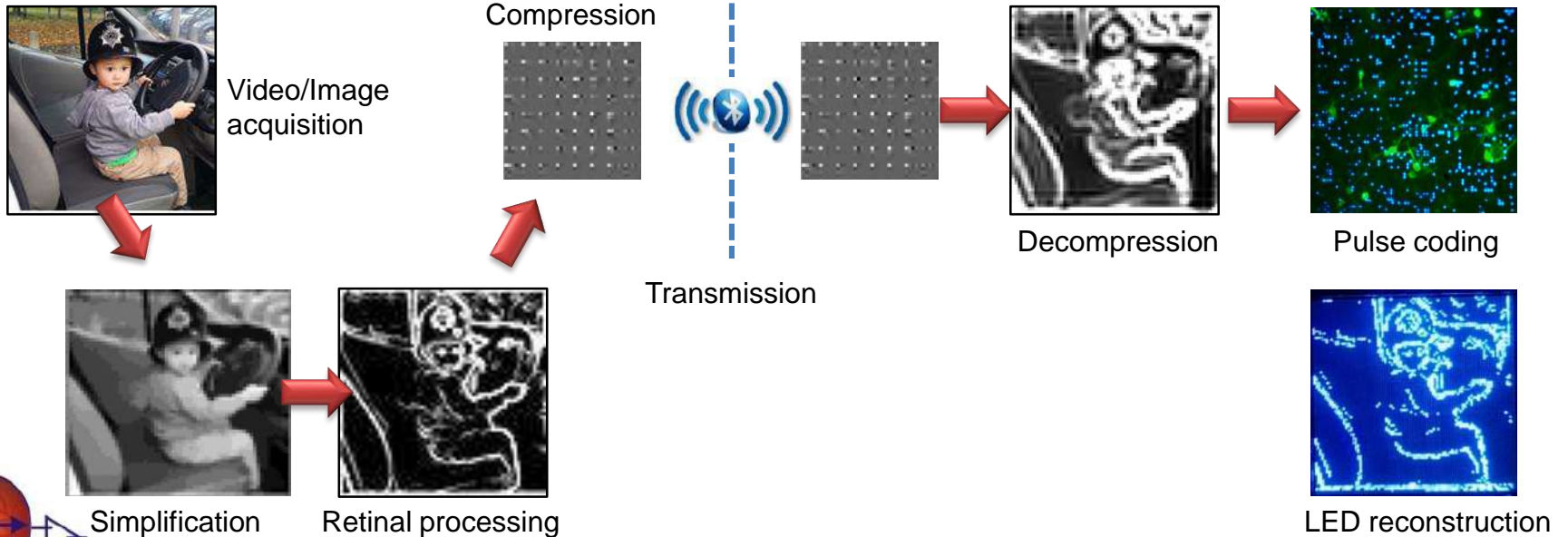


# Full software process



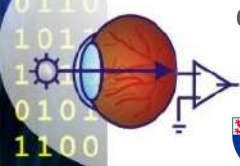
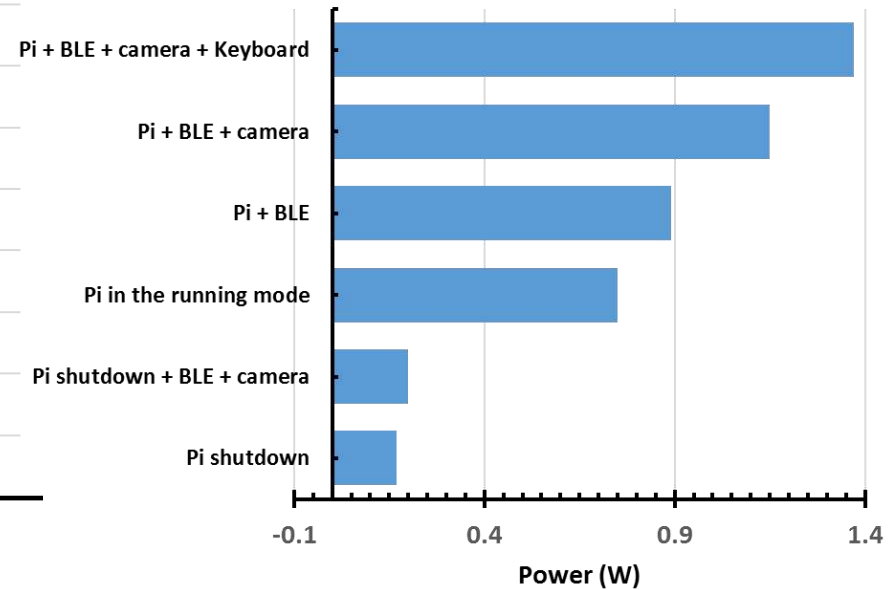
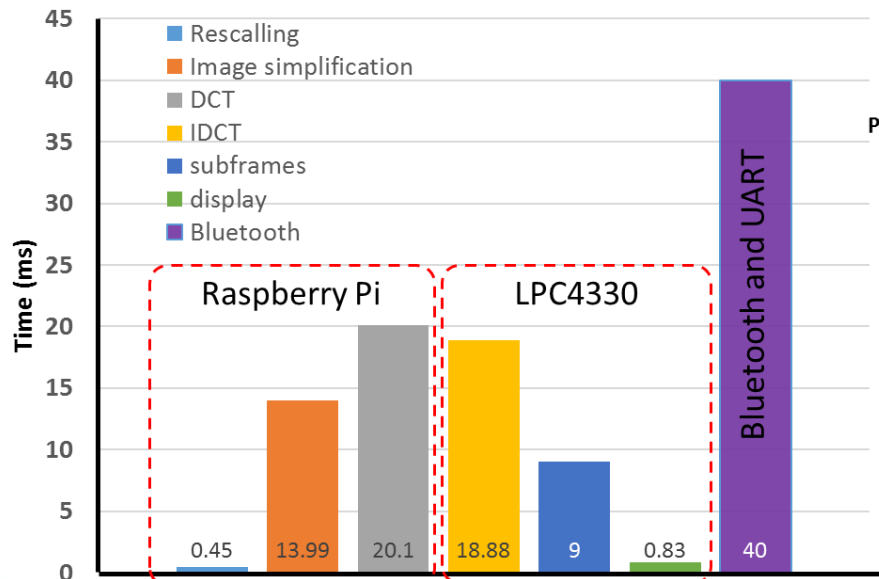
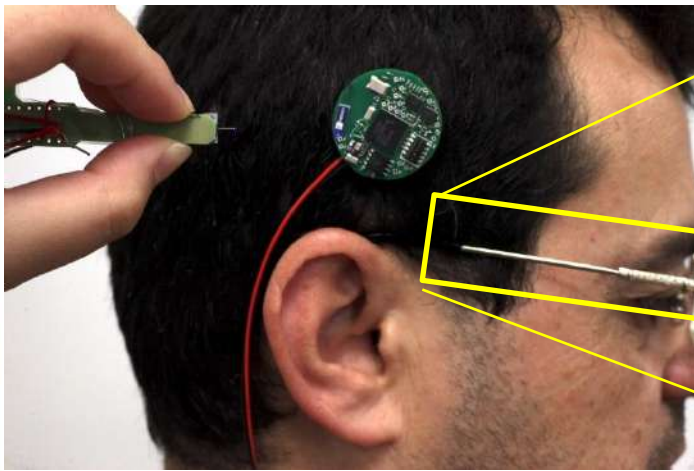
External control unit

Internal control unit

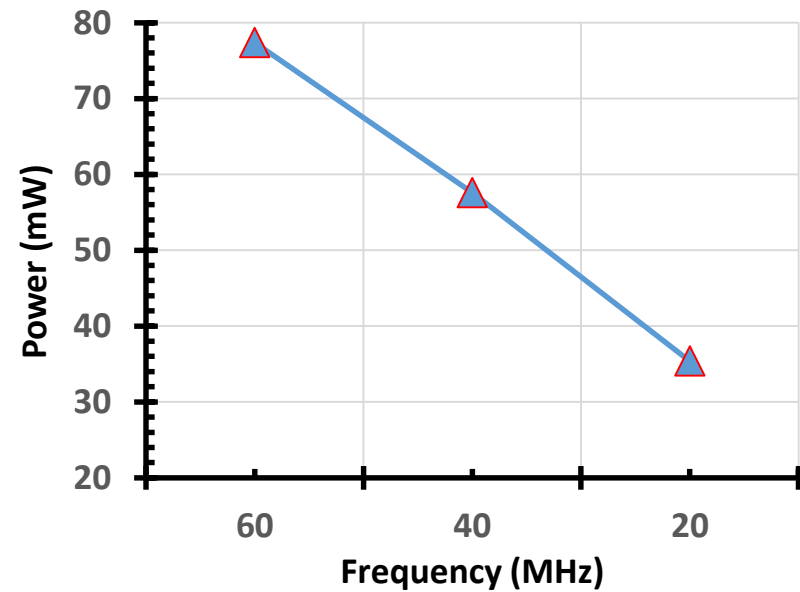
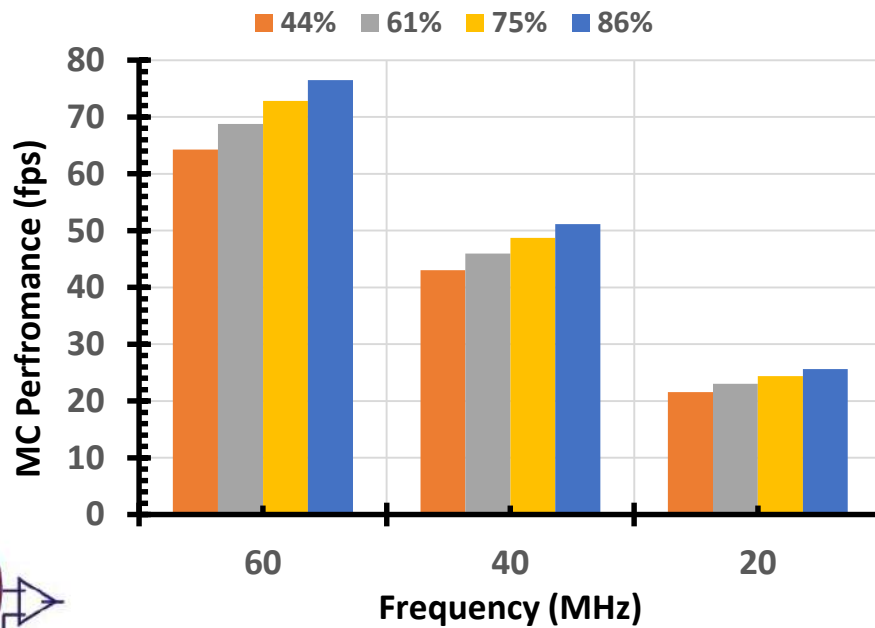
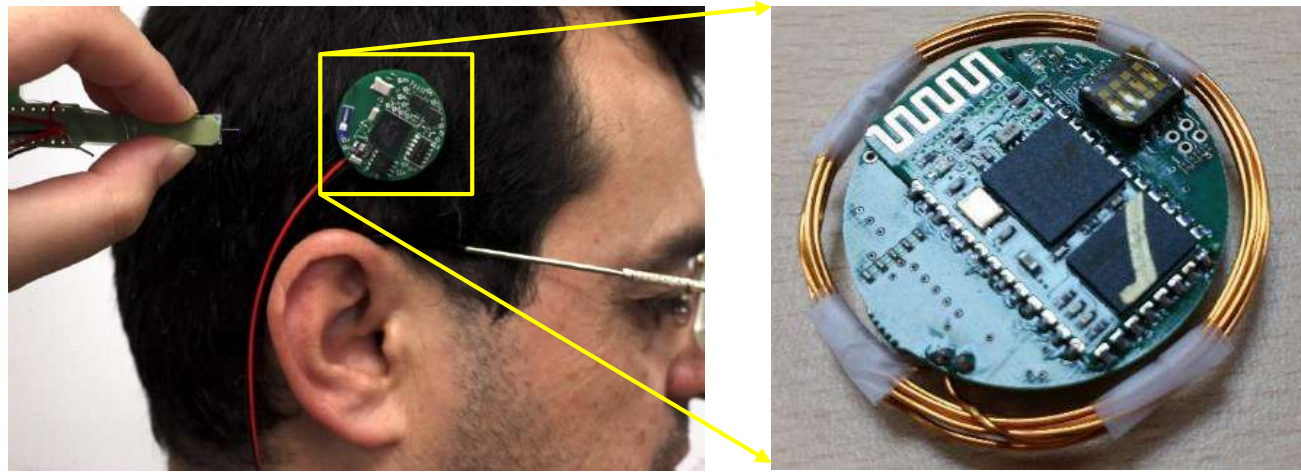




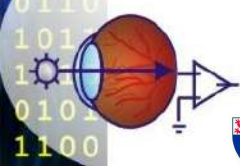
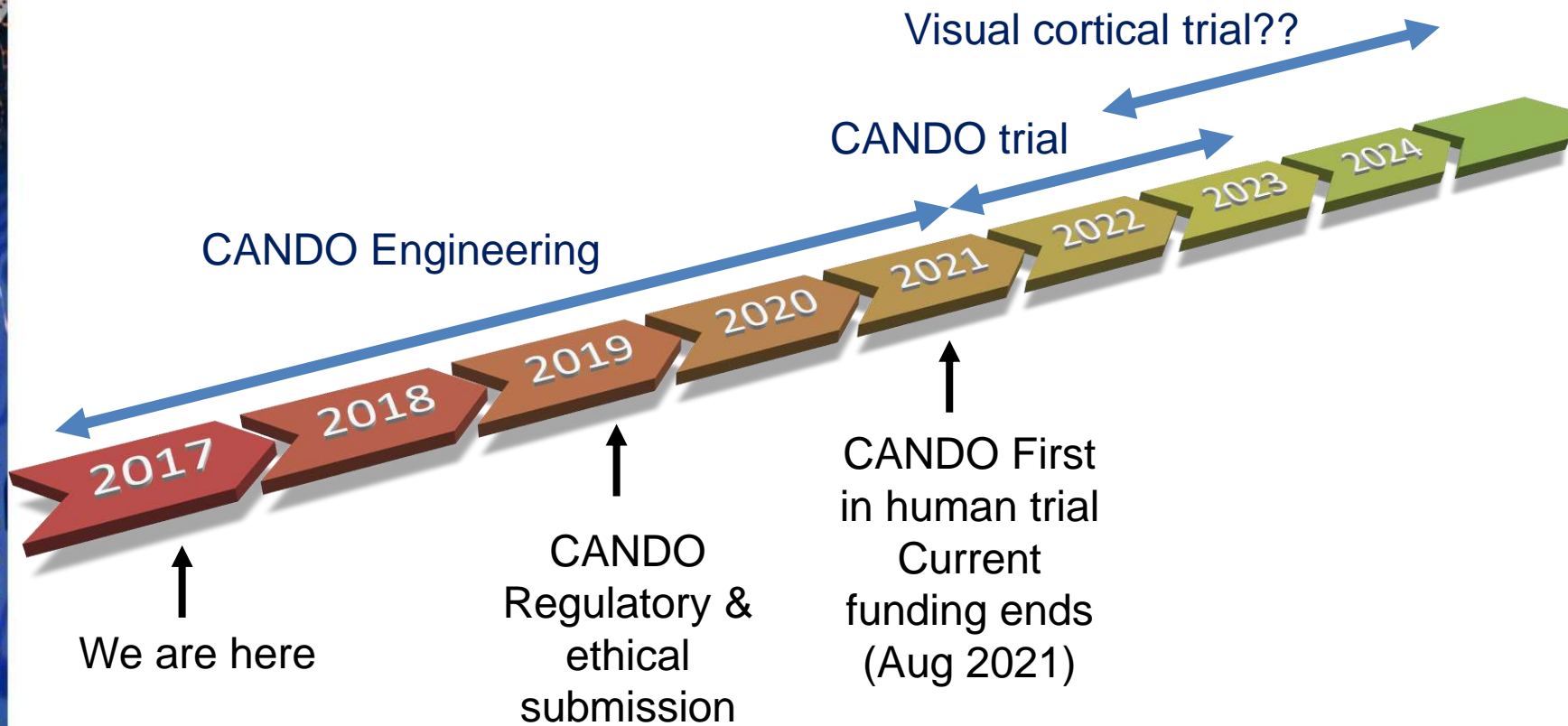
# Visual cortical Headset



# Implantable control unit



# Timeline





# Acknowledgments



## CANDO project

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